

# GlobalPlatform Technology TEE Management Framework: Open Trust Protocol (OTrP) Profile Version 0.0.0.21

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# 1 Introduction

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- 2 The GlobalPlatform TEE Management Framework (TMF) defines standard methods to administer a Trusted
- 3 Execution Environment (TEE) from outside of the TEE. It is introduced in the GlobalPlatform specification TEE
- 4 Management Framework (including ASN.1 Profile) ([TMF ASN.1]), which describes the security model for the
- 5 administration of TEEs and of Trusted Applications (TAs) and the corresponding Security Domains (SDs). In
- 6 particular, [TMF ASN.1] presents the roles and responsibilities of the different stakeholders involved in the
- 7 administration of TEEs and TAs, the life cycle of administrated entities, the mechanisms involved in
- 8 administration operations. In addition, [TMF ASN.1] defines an ASN.1 profile for TMF.
- 9 This document specifies a security mechanism that can be used in the context of TMF for the realization of
- 10 the Open Trust Protocol (OTrP) Profile and specifies JSON encoding for OTrP messages.
- 11 The companion document TEE Management Framework: Open Trust Protocol (OTrP) Mapping
- 12 ([OTrP Mapping]) shows how OTrP JSON messages map to the ASN.1 format TMF commands and how OTrP
- 13 Security Domains map to TMF Security Domains. This is an informative mapping that enables a TEE that
- 14 already exposes an ASN.1 TMF interface to support an OTrP Profile. It is not mandatory that an ASN.1 TMF
- interface exists; the JSON commands can be used directly for TEE management.

### 1.1 Audience

- 18 This document is suitable for software developers implementing a mechanism for the TEE Management
- 19 Framework for the Trusted Execution Environment (TEE).
- 20 This document is also intended for implementers of the TEE itself, its Trusted OS, Trusted Core Framework,
- 21 the TEE APIs, and the communications infrastructure required to access Trusted Applications.

### 22 1.2 IPR Disclaimer

- 23 Attention is drawn to the possibility that some of the elements of this GlobalPlatform specification or other work
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- 27 responsible for identifying any or all such IPR, and takes no position concerning the possible existence or the
- 28 evidence, validity, or scope of any such IPR.

### 1.3 References

30 The tables below list references applicable to this specification. The latest version of each reference applies

31 unless a publication date or version is explicitly stated.

Table 1-1: Normative References

Standard / Specification	Description	Ref
GPD_SPE_010	GlobalPlatform Technology TEE Internal Core API Specification	[TEE Core]
GPD_SPE_120	GlobalPlatform Technology TEE Management Framework (including ASN.1 Profile) [Initially published as TEE Management Framework]	[TMF ASN.1]

Standard / Specification	Description	Ref
GPD_SPE_124	GlobalPlatform Technology TEE Management Framework: Open Trust Protocol (OTrP) Mapping [to be published]	[OTrP Mapping]
GPD_SPE_009	GlobalPlatform Technology TEE System Architecture	[TEE Arch]
GPD_SPE_007	GlobalPlatform Technology TEE Client API Specification	[TEE Client]
RFC 2119	Key words for use in RFCs to Indicate Requirement Levels	[RFC 2119]
RFC 3447	Public-Key Cryptography Standards (PKCS) #1: RSA Cryptography Specifications <a href="https://www.ietf.org/rfc/rfc3447">https://www.ietf.org/rfc/rfc3447</a>	[RFC 3447]
RFC 4122	Version 1 UUID <a href="https://tools.ietf.org/html/rfc4122">https://tools.ietf.org/html/rfc4122</a>	[RFC 4122]
RFC 5280	Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile <a href="https://tools.ietf.org/html/rfc5280">https://tools.ietf.org/html/rfc5280</a>	[RFC 5280]
RFC 7515	JSON Web Signature (JWS) <a href="https://tools.ietf.org/html/rfc7515">https://tools.ietf.org/html/rfc7515</a>	[RFC 7515]
RFC 7516	JSON Web Encryption (JWE) <a href="https://tools.ietf.org/html/rfc7516">https://tools.ietf.org/html/rfc7516</a>	[RFC 7516]
RFC 7517	JSON Web Key (JWK) <a href="https://tools.ietf.org/html/rfc7517">https://tools.ietf.org/html/rfc7517</a>	[RFC 7517]
RFC 7518	JSON Web Algorithms (JWA) <a href="https://tools.ietf.org/html/rfc7518">https://tools.ietf.org/html/rfc7518</a>	[RFC 7518]

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### Table 1-2: Informative References

Standard / Specification	Description	Ref
OTrP from OTPA	The Open Trust Protocol (OTrP) v1.0, developed by the Open Trust Protocol Alliance	[OTPA OTrP]

# 1.4 Terminology and Definitions

- The following meanings apply to SHALL, SHALL NOT, MUST, MUST NOT, SHOULD, SHOULD NOT, and MAY in this document (refer to [RFC 2119]):
  - SHALL indicates an absolute requirement, as does MUST.
    - SHALL NOT indicates an absolute prohibition, as does MUST NOT.
- SHOULD and SHOULD NOT indicate recommendations.
- MAY indicates an option.

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Selected technical terms used in this document are defined in [TMF ASN.1] and [TEE Core].

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**Table 1-3: Terminology and Definitions** 

Term	Definition
Client Application	An application running outside of the Trusted Execution Environment (TEE) making use of the TEE Client API ([TEE Client]) to access facilities provided by Trusted Applications inside the TEE.
	Contrast Trusted Application (TA).
Device State Information (DSI)	Contains the current configuration information for all Security Domains managed by a particular OWE. (For more information, see section 2.10.5.)
Nonce	A unique value that SHALL NOT be statistically likely to repeat. (For more information, see section 2.10.4.)
Outside World Entity (OWE)	An entity authorized to manage SDs on devices. (For more information, see section 2.5.)
	Replaces the Trusted Service Manager (TSM) discussed in The Open Trust Protocol (OTrP) v1.0 ([OTPA OTrP]).
Rich Execution Environment (REE)	An environment that is provided and governed by a Rich OS, potentially in conjunction with other supporting operating systems and hypervisors; it is outside of the TEE. This environment and applications running on it are considered untrusted.
	Contrast Trusted Execution Environment (TEE).
Service Provider (SP)	An entity that issues TAs. (For more information, see section 2.6.)
Session	Logically connects multiple commands invoked on a Trusted Application or a Security Domain.
	In the context of this specification, logically connects an OWE to a TEE on a device. Begins with a GetDeviceTEEStateRequest.
spid	A unique value that identifies a Service Provider.
Trusted Application (TA)	An application running inside the Trusted Execution Environment (TEE) that provides security related functionality to Client Applications outside of the TEE or to other Trusted Applications inside the TEE.
	Contrast Client Application.
Trusted Execution Environment (TEE)	An execution environment that runs alongside but isolated from an REE.
	A TEE has security capabilities and meets certain security related requirements: It protects TEE assets from general software attacks, defines rigid safeguards as to data and functions that a program can access, and resists a set of defined threats. There are multiple technologies that can be used to implement a TEE, and the level of security achieved varies accordingly.
	Contrast Rich Execution Environment (REE).
Trusted OS	An operating system running in the TEE providing the TEE Internal Core API to Trusted Applications.

Term	Definition
Trusted Storage	Storage that is protected either by the hardware of the TEE or cryptographically by keys held in the TEE, and that is accessible only to the Trusted Application that created the data.
tsmid	A unique value that identifies an OWE.

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### 1.5 Abbreviations and Notations

- 47 Selected abbreviations and notations used in this document are defined in [TMF ASN.1] and [TEE Core].
- 48 Additional abbreviations and notations are included in Table 1-4 and Table 2-1.

Table 1-4: Abbreviations and Notations

Abbreviation / Notation	Meaning
CBC	Cipher Block Chaining
DSI	Device State Information
JWA	JSON Web Algorithms
JWE	JSON Web Encryption
JWK	JSON Web Key
JWS	JSON Web Signature
OCSP	Online Certificate Status Protocol
OTrP	Open Trust Protocol
OWE	Outside World Entity
rSD	Root Security Domain
SD	Security Domain
SP	Service Provider
TFW	Trusted Firmware
TMF	TEE Management Framework
TSM	Trusted Service Manager

# 1.6 Revision History

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Table 1-5: Revision History

Date	Version	Description
September 2017	0.1	First Working Draft
August 2018	0.0.0.9	Committee Review
October 2018	0.0.0.15	Member Review
November 2018	0.0.0.21	Public Review
TBD	TBD	Public Release

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# OTrP Overview

Parent-child relationship

### **Architecture** 2.1

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Figure 2-1: OTrP Architecture

REE TEE OWE-WHITELIST tsmid SD TΑ spid (root CA certs) TΑ OWE (TSM) OTrP Agent GP TEE Client API **OTrP Messages** rSD OWF-CERT tsmid TΑ TEE-CERT TEE-PRIV TΑ Bootloader Secure Boot TFW-CERT

Cryptographic key Data access X.509 Public Key Certificate Cryptographic operation

Communication channel

Figure 2-1 shows an architectural overview of OTrP Profile where one or more Outside World Entities (OWEs) interact with an end user's device using OTrP messages. An OWE is similar to a Trusted Service Manager (TSM), which is responsible for the life cycle management of trusted applications (TAs) running on TEEs of devices. Service Providers (SPs) rely on OWEs for distribution and life cycle management of their TAs in their users' devices.

An OTrP Profile compliant TEE SHALL have at least one root Security Domain (rSD), to which OTrP messages are sent through the device REE. If more than one rSD exists, the device SHALL have one rSD as the default rSD to which OTrP messages SHALL be sent to unless a target rSD is indicated in the messages. OTrP messages follow a request-response pattern, where an OWE requests an operation and the TEE SHALL respond to the request. An OWE SHALL always initialize an OTrP session with a TEE by requesting the Device State Information (DSI) of the TEE. A TA is always installed in the context of a Security Domain (SD). In essence, an SD and a TA have a parent-child relation; i.e. the TA is a child node of the SD. Furthermore, in OTrP Profile, SDs SHALL be directly associated with the rSD; i.e. the rSD is the immediate parent of its child SDs. An OTrP Agent running on the REE SHALL be responsible for channeling to the OTrP messages between OWEs and relevant rSDs using GlobalPlatform TEE Client API ([TEE Client]) interfaces.

# 2.2 Nomenclature

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Table 2-1: Document-specific Terminology and Definitions

Term	Definition
OWE-CERT	The public key certificate containing OWE-PUB issued to the OWE by a Certificate Authority. The OWE-CERT SHALL contain an OWE identifier (tsmid).
OWE-PRIV	The private portion of a key pair issued to a TEE management entity located outside of the TEE.
OWE-PUB	The public portion of a key pair issued to a TEE management entity located outside of the TEE.
OWE-WHITELIST	A set of root Certificate Authority certificates. Each OWE-CERT SHALL chain to a root certificate in the OWE-WHITELIST in order to be able to authenticate to an rSD.
rSD <sub>TA</sub>	An rSD with TA and SD management privileges.
rSD <sub>TEE</sub>	An rSD with the TEE management privilege.
SP-AIK-PRIV	The private portion of an anonymous identity key generated by the TEE whenever a first SD for an SP is created. TEE uses the SP-AIK-PRIV to decrypt TA binaries and TA personalized data sent by the SP through OWE.
SP-AIK-PUB	The public portion of an anonymous identity key generated by the TEE whenever a first SD for an SP is created. The key pair is used to anonymously identify the device instead of TEE-PUB. The SP uses the SP-AIK-PUB to encrypt TA binaries and TA personalized data during TA life cycle management on a particular TEE.
SP-CERT	The public key certificate containing the SP-PUB issued to the Service Provider by a Certificate Authority or a self-signed certificate.
spid	A unique value that identifies an SP. OWEs SHALL maintain spids for SPs.
SP-PRIV	The private portion of a key pair issued to a Service Provider, which is used to sign trusted application code.
SP-PUB	The public portion of a key pair issued to a Service Provider that is used to sign trusted application code.
TEE-CERT	The public key certificate containing the TEE-PUB that is signed by the Certificate Authority of the TEE vendor.
TEE-PRIV	The private portion of a key pair burned into the device which is only accessible to the TEE software. TEE uses this key to sign data to attest its validity to a remote entity.
TEE-PUB	The public portion of a key pair burned into the device and accessible by the TEE software.
TFW-CERT	The public key certificate containing the TFW-PUB that is signed by the certificate authority of the TFW issuer.
TFW-PRIV	The private portion of a key pair burned into the device which is only accessible to the device firmware. The device firmware uses this key to sign data to attest its validity to a remote entity.
TFW-PUB	The public portion of a key pair burned into the device and accessible by the device firmware.

Term	Definition
tsmid	A unique value that identifies an OWE. Its value SHALL be the OWE identifier present in the OWE-CERT.

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# 2.3 Root Security Domain (rSD)

- A root Security Domain (rSD) is defined in GlobalPlatform TEE Management Framework ([TMF ASN.1]) 79 80 section 4.1.3.3. In this document, an rSD refers to a root Security Domain in the context of the OTrP Profile. An rSD SHALL NOT have a parent SD that can authorize any OTrP operations on the rSD or its children. An 81 OTrP rSD can be configured with privilege functions as listed in [TMF ASN.1] section 4.1.3.1, except that the 82 83 rSD SHALL NOT be allowed to create another rSD. OTrP Profile allows a device to be configured with more 84 than one rSD. However, an OTrP Profile compliant device SHALL be configured with at least one rSD with TA and SD management privileges. An rSD with the TEE management privilege SHALL be restricted to 85 authorizing only TEE management operations and SHALL NOT authorize any TA and SD management 86
- In this document, the term rSD<sub>TA</sub> refers to an rSD with TA and SD management privileges and rSD<sub>TEE</sub> refers to an rSD with the TEE management privilege.
- The UUID of an rSD SHALL be known to OWEs that wish to communicate with the rSD using OTrP messages.

  Each rSD possesses an OWE-WHITELIST, which allows the rSD to determine whether a given OWE is trusted by validating the certificate chain of the OWE-CERT. OTrP Profile SHALL NOT allow an rSD to be installed on a device in the field using OTrP messages.

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operations.

# 2.4 Security Domain (SD)

- 96 [TMF ASN.1] section 4.1 defines the concept of Security Domain (SD). In this document, an SD refers to an SD created using OTrP messages. An SD SHALL only have TA Management and TA Personalization privileges. SDs SHALL be uniquely identified using UUIDs. A UUID for an SD may be derived from the tsmid (see section 2.5) and the spid (see section 2.6) associated with the SD as follows:
- Convert tsmid to a bitstream.
  - Convert spid to a bitstream.
    - Calculate the SHA-1 Hash of {tsmid || spid}.
- Use the left most 128 bits as UUID.
- 104 UUIDs derived as above SHALL be set either as UUID version 1 or UUID version 4 as specified in [RFC 4122].

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# 2.5 Outside World Entity (OWE)

An Outside World Entity (OWE) is usually an entity authorized to manage SDs on devices. Each OWE is identified by a unique identifier called *tsmid*. OWE holds a private key OWE-PRIV associated with the OWE-CERT, which it uses to sign OTrP messages. OWE receives the OWE-CERT from an intermediate CA.

The OWE-CERT SHALL be chained to a root certificate in the OWE-WHITELIST.

# 2.6 Service Provider (SP)

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- 113 A Service Provider (SP) is an entity that issues TAs. An SP signs its TAs using the private key associated with
- 114 its SP-CERT, and establishes a trust relationship with an OWE to deliver TAs. However, the mechanism used
- to establish a trust relationship is out of scope of the OTrP Profile document.
- An SP SHALL be identified by a unique identifier called a spid. OWEs are responsible for maintaining the
- uniqueness of the spids within the context of an OWE. The spids are not required to be globally unique.

# 119 2.7 Trusted Firmware (TFW)

- 120 A Trusted Firmware (TFW) is a part of TEE that is a layer outside of the trusted OS. The TFW layer is specific
- to a TEE architecture and may be unavailable in some TEEs. If available, the TFW is requested to sign a
- 122 challenge during the beginning of an OTrP session; i.e. while processing the GetDeviceTEEStateRequest.
- The signed output and the TFW information is structured as TRUSTED-FRAMEWORK-TYPE.

## 2.8 OTrP Agent

- 126 An OTrP Agent is an entity that runs on the REE of the device to facilitate communication between an OWE
- and TEE. It also provides interfaces for applications to query TAs and trigger OTrP sessions. The OTrP Agent
- 128 SHALL use TEE Client API ([TEE Client]) to establish an administrative session to a relevant rSD in the TEE.
- 129 The Agent SHALL channel OTrP messages to an rSD according to the encoding scheme defined in section 3
- 130 of this document.

# 2.9 OWE Certificate (OWE-CERT)

- 133 Each OWE that can manage TAs on devices SHALL have an OWE-CERT issued by an intermediate CA
- whose certificate chains to a root CA present in the OWE-WHITELIST on the devices. The OWE-WHITELIST
- 135 is accessible to the rSD, which can validate the OWE-CERT chain during OTrP sessions to authorize OTrP
- operations requested by OWEs. An OWE SHALL sign every OTrP request message using the private key,
- 137 which SHALL be verified using the OWE-CERT.
- 138 OWE-CERT SHALL identify the OWE. The OWE's identifier SHALL be encoded to the dNSName of the
- 139 SubjectAltName extension of the OWE-CERT. The issuer of the OWE-CERT SHALL ensure that the OWE's
- identifier has not been issued to any other OWE. For example, if the identifier is a fully qualified domain name,
- then the domain must be owned by the OWE.
- 142 The OWE identifier SHALL be used as the tsmid in OTrP messages.

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# 144 2.10 Security Model

- 145 The goals of the security model for OTrP Profile are:
- to provide means to manage the Trusted Execution Environment (TEE), Security Domains (SD), and
   Trusted Applications (TA)
- to ensure the security and the integrity of these entities
- to enable the confidentiality of the data
  - to provide a scalable model allowing deployments involving a unique OWE or multiple OWEs
- to enforce the security policy of each OWE while preserving its assets
- To ensure the security and integrity of these entities, the TMF OTrP Profile code implementation on the device
- is a Trusted OS Component (see [TEE Arch]), or composed from a group of such components. As such it
- inherits the same security requirements as other Trusted OS Components.

### 2.10.1 Security Mechanism

- 157 OTrP Profile utilizes Public Key Infrastructure (PKI) combined with JSON Web Signature (JWS) ([RFC 7515])
- and JSON Web Encryption (JWE) ([RFC 7516]) to allow the OWE to communicate securely with the rSD.
- 159 The OWE uses OTrP messages to create and manage Security Domains in the TEE, on behalf of Service
- Providers, and install, personalize, and manage trusted applications within these Security Domains.
- PKI trust is used to enable the TEE to determine which OWEs to trust, and therefore multiple OWEs that meet
- the trust requirements (OWEs that can prove their identity using an unrevoked OWE-CERT that chains to the
- 163 OWE-WHITELIST) may communicate with the TEE via OTrP messages. Furthermore, the TEE validates the
- status of the OWE-CERT using the OCSP stapling provided along with the OTrP request messages.
- 165 OTrP Profile SHALL enforce the following access control policies on SDs and TAs:
  - An OWE SHALL only be authorized to manage SDs that the OWE initially requested to create.
- An OWE SHALL only be authorized to manage TAs that are installed in the SDs which the OWE is authorized to manage.
- 169 OTrP Profile uses tsmid to enforce the access control policies on SDs and TAs. The rSD<sub>TA</sub> associates each
- 170 SD with the tsmid of the OWE that requested the creation of the SD. The rSD<sub>TA</sub> validates the tsmid present on
- the OWE-CERT before authorizing operations on the SD.

### 2.10.2 Cryptographic Requirements

- 174 OTrP Profile SHALL use the JWS scheme for signing and the JWE scheme for encrypting messages. OTrP
- 175 Profile SHALL use algorithms defined in JSON Web Algorithms (JWA) ([RFC 7518]) for signing, encryption,
- and key wrap operations. However, the OTrP Profile SHALL only select an algorithm that is supported by the
- 177 TEE Internal Core API Specification ([TEE Core]).

### 179 2.10.3 Cryptographic Recommendations

- 180 OTrP Profile SHOULD use the following cryptographic recommendations.
  - Symmetric cryptography: Minimum equivalent to AES with 128-bit keys.
  - Hash functions: Minimum equivalent to SHA-256.
    - Asymmetric cryptography: Minimum equivalent to RSA with key size of at least 3096 bits. However, it
      is recommended to use Elliptic Curve Cryptography (ECC) with P-256. Other curve values may be
      used. See Table B-1 for string identifiers of these curves.
    - Key management: It is recommended to use Elliptic Curve Diffie—Hellman (ECDH) with key size of at least 256 bits for key agreement / management.
    - RSA-based JWE and JWS SHOULD use separate key pairs for signing and encryption.

### 2.10.4 Nonce

Within all OTrP requests, the nonce plays a critical role in message synchronization. It is a unique value that allows the TEE to verify that it has not authorized any new operations on SDs and TAs belonging to the OWE since the last operation requested by the OWE. An OWE requests a nonce from the TEE at the beginning of an OTrP session; i.e. while requesting the DSI information. The TEE SHALL maintain a nonce per OWE and provide a nonce value to the OWE in every response message. The OWE SHALL use the same nonce value in the next OTrP request. The nonce value changes every time the TEE processes a request. A nonce value SHALL NOT be statistically likely to repeat within a single OTrP session. If the nonce value provided in a request does not match the one provided in the latest response, the TEE SHALL return an error status and the OWE SHALL reinitiate the OTrP session by requesting the DSI information. For more details, refer to sections 5.5.1 and 5.7.

### 2.10.5 Device State Information (DSI)

The DSI contains the current configuration information for all Security Domains managed by a particular OWE. TEE maintains the DSI information for a particular OWE during an OTrP session. TEE is also responsible for providing DSI information to the OWE at the beginning of the OTrP session. Once a DSI has been obtained by the OWE, further interaction with the TEE contains a hash of the DSI. TEE provides DSI information in OTrP response messages if indicated by the OWE in the preceding request. The hash of the DSI SHALL be calculated using SHA-256 over the DSI-CONTENT-TYPE.

# 3 Encoding OTrP Messages Using TEE Client API

- In a GlobalPlatform TEE that supports OTrP Profile, the TEE Client API ([TEE Client]) SHALL allow the OTrP messages to be sent to the TEE as follows.
  - OTrP Agent opens an administrative session to the relevant OTrP root Security Domain.
    - Using this session, the OTrP Agent forwards the OTrP requests using [TEE Client] TEEC\_InvokeCommand.

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### 3.1 Reserved Command IDs

When TEEC\_InvokeCommand is called to send OTrP messages to a Security Domain, the following [TEE Client] Command IDs are reserved.

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Table 3-1: Reserved Command IDs

Range	Description
0x00000000 - 0x00C1FFFF	Reserved for GlobalPlatform use
0x00C20000 - 0x00C2FFFF	Reserved for TMF ASN.1 Profile
0x00C30000	JSON OTrP messages
0x00C30001 - 0x00C3FFFF	Reserved for TMF OTrP Profile
0x00C40000 - 0x3FFFFFE	Reserved for GlobalPlatform use
0x3FFFFFF	Defined Error value
0x40000000 - 0xFFFFFFF	Implementation defined

# 3.2 Encoding OTrP Messages

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- 223 The Command ID for forwarding OTrP messages via TEEC\_InvokeCommand is 0x00C30000.
- 224 This command uses a single envelope command with two parameters:
  - The first parameter identifies the input buffer containing the OTrP request message as a UTF-8
    encoded string. The byte representation of the OTrP request that is passed to the TEE SHALL NOT
    be null terminated. The TEE SHALL use the supplied length to determine the length of the OTrP
    request data and SHALL NOT rely on a null terminator being present.
  - The second parameter identifies the output buffer containing the OTrP response message, which will be returned as a UTF-8 encoded string. The OTrP response returned from the TEE SHALL NOT include a null terminator.

### Figure 3-1: Single Envelope Command

Cmd	P0	P1	P2	P3	ctatus
ID	(MEMREF_INPUT)	(MEMREF_OUTPUT)	(NONE)	(NONE)	Status

### Table 3-2: Envelope Command Encoding

Parameters	Value	Description
Command ID	0x00C30000	OTrP message
Parameter #0	TEEC_MEMREF_*_INPUT	Request message including the command payload.
Parameter #1	TEEC_MEMREF_*_OUTPUT	Response message including the command response.
Parameter #2	TEEC_NONE	Not used
Parameter #3	TEEC_NONE	Not used
Status	_	Execution status of the envelope command.

### 3.2.1 Handling Variable Length Return Values

For handling variable length return values, refer to [TEE Core] section 3.4.4.

### 3.2.2 Atomicity of Operations

All operation commands SHALL appear atomic to entities using the GlobalPlatform OTrP Profile. Internally a TEE may adopt a variety of strategies, including performing garbage collection and applying other required operations in a delayed manner following an OTrP operation command. Some OTrP operations MAY lock out GlobalPlatform TA or SD functionality until the TEE finishes processing the requested OTrP operation.

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### 3.2.3 Returning OTrP Errors

- 247 Where possible even in the event of an error the status TEEC\_SUCCESS should be returned, with the
- 248 response data (Parameter #1) providing the JSON OTrP response message which may itself indicate that
- there has been an OTrP error.
- 250 In some cases an error may be severe enough that an OTrP message cannot be returned. This might be due
- 251 to insufficient response buffer allocation (which is described in section 3.2). In these cases, the error codes
- described in [TMF ASN.1] section 8.1.1, Using the Mandatory TEE Client API, should be used.

# 4 JSON Message Formatting

- Each OTrP message (detailed in section 5) is carried within a JSON message structure and uses the Flattened JWS Serialization Syntax (see [RFC 7515] section 7.2.2).
- 257 OTrP messages shown in this document use the following typographic conventions for JSON data types:
  - String: Strings in this document are represented as PRINTABLE-STRING-PRIMITIVE-TYPE, enclosed in quotes.
    - Integer: Numbers are represented as INTEGER-PRIMITIVE-TYPE.
    - Boolean: Booleans are simply represented as BOOLEAN. A Boolean value can either be true or false.
    - Array: An array is a collection of values (either values of a single data type or objects). Arrays are enclosed in square brackets ([]) with values separated by commas (,).
- JSON elements that are marked as OPTIONAL SHALL be ignored by the message receiver if not included in the messages.

### 4.1 COMMAND-TYPE

The COMMAND-TYPE is a JSON structure for signature output. OTrP Profile SHALL use the JWS scheme for signing data and SHALL follow the Flattened JWS JSON Serialization Syntax as:

```
271 {
272         "payload":COMMAND-PAYLOAD,
273         "protected":PROTECTED-HEADER-TYPE,
274         "header":HEADER-TYPE,
275         "signature":"PRINTABLE-STRING-PRIMITIVE-TYPE"
276 }
```

277 Where:

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- payload: The COMMAND-PAYLOAD used as a payload to generate a signature.
  - protected: The JWS protected header element structured as PROTECTED-HEADER-TYPE.
  - header: The JWS header element structured as HEADER-TYPE. This element SHALL NOT be used for response messages.
- signature: The base64url encoded signature.

### 284 4.2 UNPRIVILEGED-COMMAND-TYPE

285 UNPRIVILEGED-COMMAND-TYPE SHALL be one of the following OTrP message types:

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287 GET-TA-INFORMATION-REQUEST

288 GET-TA-INFORMATION-RESPONSE

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### 4.3 COMMAND-PAYLOAD

291 COMMAND-PAYLOAD SHALL be the base64url encoding of:

292 COMMAND-TBS

293 Where:

COMMAND-TBS: One of the following OTrP message types:

295 GET-DEVICE-TEE-STATE-TBS-REQUEST 296 GET-DEVICE-TEE-STATE-TBS-RESPONSE 297 CREATE-SD-TBS-REQUEST 298 CREATE-SD-TBS-RESPONSE 299 UPDATE-SD-TBS-REQUEST 300 UPDATE-SD-TBS-RESPONSE 301 DELETE-SD-TBS-REQUEST 302 DELETE-SD-TBS-RESPONSE 303 INSTALL-TA-TBS-REQUEST 304 INSTALL-TA-TBS-RESPONSE 305 UPDATE-TA-TBS-REQUEST 306 UPDATE-TA-TBS-RESPONSE 307 DELETE-TA-TBS-REQUEST DELETE-TA-TBS-RESPONSE 308 309 STORE-TEE-PROPERTY-TBS-REQUEST 310 STORE-TEE-PROPERTY-TBS-RESPONSE 311 FACTORY-RESET-TBS-REQUEST 312 FACTORY-RESET-TBS-RESPONSE

### 4.4 PROTECTED-HEADER-TYPE

The PROTECTED-HEADER-TYPE is the JWS protected header. Its value is the base64url encoding of the following elements:

```
317 {
318          "alg":"PRINTABLE-STRING-PRIMITIVE-TYPE",
319          "rSD":"PRINTABLE-STRING-PRIMITIVE-TYPE",
320          "tee":"PRINTABLE-STRING-PRIMITIVE-TYPE"
321 }
```

### 322 Where:

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- alg: A cryptographic algorithm used to sign a message. Its value SHALL be one of the "alg" values defined in [RFC 7518]. However, if the selected algorithm in the OTrP request is not supported by [TEE Core] or is not acceptable by the OTrP Profile, then the rSD SHALL return the response with an error message. For more detail on alg, refer to SIGNATURE-PRIMITIVE-TYPE.
- rSD: (OPTIONAL) The UUID of the rSD, which is supposed to receive the request message. When this element is not supplied, the OTrP request SHALL be sent to the default rSD<sub>TA</sub> on the device.
- tee: (OPTIONAL) A zero-terminated string that describes the TEE to connect to. Its value matches the parameter name used to connect to a TEE while initializing a context using the TEEC\_InitializeContext. For detail, refer to [TEE Client] section 4.5.2. When this element is not supplied, the OTrP request SHALL be sent to the default TEE on the device.

### 4.5 HEADER-TYPE

The HEADER-TYPE is the JWS header with the following elements:

```
336 {
337      "x5c":["CERT-PRIMITIVE-TYPE"],
338      "kid":"PRINTABLE-STRING-PRIMITIVE-TYPE"
339 }
```

### 340 Where:

- x5c: An X.509 Certificate Chain (as described in [RFC 5280]) represented as a CERT-PRIMITIVE-TYPE array.
- kid: (OPTIONAL) A string indicating the key used in the JWS scheme for signing data.

x5c for the request message GetDeviceTEEStateRequest SHALL contain the entire OWE-CERT chain up to the root CA certificate as the CERT-PRIMITIVE-TYPE array. Other request messages may include OWE-CERT alone as the array element.

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### 4.6 COMMAND-PARAMETER-TYPE

OTrP request messages SHALL have the following common elements:

```
351
352
              "ver": "GPD-VERSION-TYPE",
353
              "tid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
354
             "rid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
355
              "tee": "PRINTABLE-STRING-PRIMITIVE-TYPE",
356
             "nextdsi":BOOLEAN,
357
              "dsihash": "PRINTABLE-STRING-PRIMITIVE-TYPE",
358
              "nonce": "PRINTABLE-STRING-PRIMITIVE-TYPE",
359
              "content": CONTENT-ENCRYPTION-TYPE
360
      }
```

### 361 Where:

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- ver: The version of the OTrP request message structured as GPD-VERSION-TYPE.
- tid: A unique value to identify this transaction. The tid SHALL remain unchanged for an OTrP session that begins with GetDeviceTEEStateRequest.
- rid: A unique value to identify the request. The response SHALL contain the same rid value as the corresponding request.
- tee: A zero-terminated string that identifies the TEE as defined in [TEE Client] section 4.5.2.
- nextdsi: A Boolean value indicating whether a newly calculated DSI-TYPE SHALL be returned in the corresponding response message.
- dsihash: The base64 encoded SHA-256 hash of the DSI-TYPE obtained from the immediate previous response.
- nonce: For more information on nonce, refer to section 2.10.4. The nonce value SHALL match the value of the nextnonce the OWE received in the immediate previous response.
- content: Encrypted data structured as a CONTENT-ENCRYPTION-TYPE. The input to the encryption function is specific to the request message type as detailed within the request descriptions.

**Note:** The COMMAND-PARAMETER-TYPE may also include additional elements specific to an OTrP request message.

### 4.7 RESPONSE-PARAMETER-TYPE

In response to a request, the rSD returns a response with the following common elements:

```
382 {
383     "ver":"GPD-VERSION-TYPE",
384     "rid":"PRINTABLE-STRING-PRIMITIVE-TYPE",
385     "tid":"PRINTABLE-STRING-PRIMITIVE-TYPE",
386     "content":CONTENT-ENCRYPTION-TYPE
387 }
```

### 388 Where:

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- ver: The version of the OTrP response message structured as GPD-VERSION-TYPE.
- rid: A unique value identifying the corresponding request.
- tid: A unique value identifying the OTrP session.
- content: Encrypted data structured as a CONTENT-ENCRYPTION-TYPE. The input to the encryption function is specific to the response message type as detailed within the response descriptions.

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### 4.8 CONTENT-ENCRYPTION-TYPE

The CONTENT-ENCRYPTION-TYPE is a JSON structure for encrypted data in OTrP messages. CONTENT-397 ENCRYPTION-TYPE uses JWE for encrypting data and follows the Flattened JWE JSON Serialization Syntax. 398 Symmetric keys known as Content Encryption Keys (CEK) are used to encrypt the data. When using RSA, the 399 400 CEK and authentication HMAC key are encrypted or wrapped by a recipient's public asymmetric key (OWE-PUB or TEE-PUB). It is recommended that the recipient's key used for wrapping the CEK is different from the 401 402 recipient's signature key. For ECDH the CEK is agreed using the recipient's public key (OWE-PUB or TEE-PUB) and an ephemeral key is generated by the sender. OTrP Profile does not use JWE AAD (Additional 403 404 Authenticated Data) as every message is signed after encryption.

The JSON structure for the CONTENT-ENCRYPTION-TYPE is as follows:

### 413 Where:

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- protected: A mandatory JWE header parameter that indicates the cryptographic algorithm used for encryption.
- recipients: An array of KEYWRAP-INFO-TYPE each containing information specific to a recipient.
- iv: The base64url encoded initialization vector as defined in [RFC 7516] section A.1.4.
  - ciphertext: The base64url encoded encrypted data. The input to the encryption function is specific to COMMAND-TBS.
- tag: The base64url encoded authenticated tag calculated as defined in [RFC 7516] section 5.1.

### 4.9 KEYWRAP-INFO-TYPE

The KEYWRAP-INFO-TYPE is a JSON structure that contains a wrapped key and the information specific to a recipient on unwrapping.

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A KEYWRAP-INFO-TYPE containing a key wrapped with recipient's RSA public key is structured as:

433 Where:

- header: A mandatory header that contains alg element.
- alg: The KEYWRAP-PRIMITIVE-TYPE value that indicates the algorithm from JSON Web Algorithms ([RFC 7518]) used to encrypt CEK.
- encrypted key: The base64url encoding value of the JWE encrypted CEK.

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439 A KEYWRAP-INFO-TYPE containing a key wrapped using ECDH is structured as:

```
440
              "header":{
441
442
                      "alg": "KEYWRAP-PRIMITIVE-TYPE"
443
              },
444
              "encrypted_key":"PRINTABLE-STRING-PRIMITIVE-TYPE",
445
              "epk": PUB-KEY-TYPE,
              "apu": "PRINTABLE-STRING-PRIMITIVE-TYPE",
446
447
              "apv": "PRINTABLE-STRING-PRIMITIVE-TYPE"
      }
448
```

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450 451 Where header, alg, and encrypted key are as defined above, and:

- epk: An ephemeral ECC public key structured as the ECC-based PUB-KEY-TYPE.
- apu: The base64url encoded agreement PartyUInfo value for key agreement algorithm.
- 453 apv: The base64url encoded agreement PartyVInfo value for key agreement algorithm.

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### 4.10 ENCRYPTION-PRIMITIVE-TYPE

- The ENCRYPTION-PRIMITIVE-TYPE indicates the cryptographic algorithm used for encryption in CONTENT-
- 457 ENCRYPTION-TYPE. Its value SHALL be the base64url encoding of one of the "enc" values defined in
- 458 [RFC 7518]. However, if the selected algorithm in the OTrP request is not supported by [TEE Core] or is not
- acceptable by the OTrP Profile, then the rSD SHALL return the response with an error message.
- 460 The following JSON structures are examples of ENCRYPTION-PRIMITIVE-TYPE defined using AES-CBC,
- and HMAC generated using SHA-256 and SHA-512.

```
462 {"enc": "A128CBC-HS256"}
463 {"enc": "A256CBC-HS512"}
```

### 464 Where:

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- {"enc":"A128CBC-HS256"}: Represents content encryption with a 128-bit AES key in CBC mode and an HMAC message authentication code with the SHA-256 hash function.
- {"enc":"A256CBC-HS512"}: Represents content encryption with a 128-bit AES key in CBC mode and an HMAC message authentication code with the SHA-512 hash function.

Table 4-1 provides the base64url encoded values for these examples of ENCRYPTION-PRIMITIVE-TYPE.

### Table 4-1: Examples of base64url encoded ENCRYPTION-PRIMITIVE-TYPE

ENCRYPTION-PRIMITIVE-TYPE	base64url encoded	
{"enc":"A128CBC-HS256"}	eyJlbmMiOiJBMTI4Q0JDLUhTMjU2In0g	
{"enc":"A256CBC-HS512"}	eyJlbmMiOiJBMjU2Q0JDLUhTNTEyIn0g	

Table 4-2 lists the corresponding algorithms in [TEE Core] to support the above example encryption algorithms. (These correspondences were true when this specification was published. Confirm the latest information in [TEE Core] and [RFC 7518].)

### Table 4-2: Example [TEE Core] Algorithms to Support ENCRYPTION-PRIMITIVE-TYPE

JSON Web Algorithms	[TEE Core] Algorithms
A128CBC-HS256	TEE_ALG_AES_CBC_NOPAD, TEE_ALG_HMAC_SHA256
A128CBC-HS512	TEE_ALG_AES_CBC_NOPAD, TEE_ALG_HMAC_SHA512

A128CBC-HS256 and A256CBC-HS512 use PKCS#7 padding. The padding mechanism should be implemented separately as [TEE Core] does not support it.

**Note:** Refer to section 2.10 for additional information.

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### 4.11 SIGNATURE-PRIMITIVE-TYPE

- The SIGNATURE-PRIMITIVE-TYPE indicates the cryptographic algorithm used to sign a message. Its value
- SHALL be the base64url encoding of one of the "alg" values defined in [RFC 7518]. However, if the selected
- algorithm in the OTrP request is not supported by [TEE Core] or is not acceptable by the OTrP Profile, then
- 486 the rSD SHALL return the response with an error message.
- The following JSON structures are examples of SIGNATURE-PRIMITIVE-TYPE defined using RSA and EC
- 488 algorithms with key size of 256 bits.

```
489 {"alg":"RS256"}
490 {"alg":"ES256"}
```

491 Where:

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- {"alg": "RS256"}: Represents signature generated with RSASSA-PKCS1-v1\_5 ([RFC 3447]) using SHA-256.
- {"alg": "ES256"}: Represents signature generated with ECDSA using P-256 curve and SHA-256.
- Table 4-3 provides the base64url encoded values for these examples of SIGNATURE-PRIMITIVE-TYPE.

### Table 4-3: Examples of base64url encoded SIGNATURE-PRIMITIVE-TYPE

SIGNATURE-PRIMITIVE-TYPE	base64url encoded
{"alg":"RS256"}	eyJhbGci0iJSUzI1NiJ9
{"alg":"ES256"}	eyJhbGci0iJFUzI1NiJ9

Table 4-4 lists the corresponding algorithms in [TEE Core] to support the above example "alg". (These correspondences were true when this specification was published. Confirm the latest information in [TEE Core] and [RFC 7518].)

### Table 4-4: Example [TEE Core] Algorithms to Support SIGNATURE-PRIMITIVE-TYPE

JSON Web Algorithms	[TEE Core] Algorithms	
RS256	TEE_ALG_RSASSA_PKCS1_V1_5_SHA256	
ES256	TEE_ALG_ECDSA_SHA256	

**Note:** Refer to section 2.10 for additional information.

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### 4.12 KEYWRAP-PRIMITIVE-TYPE

The KEYWRAP-PRIMITIVE-TYPE describes the key management algorithm used to wrap CEK while encrypting data in the CONTENT-ENCRYPTION-TYPE. The KEYWRAP-PRIMITIVE-TYPE SHALL be one of the key management algorithms defined in [RFC 7518]. However, if the selected algorithm in the OTrP request is not supported by [TEE Core] or is not acceptable by the OTrP Profile, then the rSD SHALL return the response with an error message.

512 Examples of JSON Web Algorithms for key management that may be used to wrap CEK are as below:

513 RSA1\_5
514 ECDH-ES+A128KW
515 ECDH-ES+A256KW

Table 4-5 lists the corresponding algorithms in [TEE Core] to support the above example key management algorithms. (These correspondences were true when this specification was published. Confirm the latest information in [TEE Core] and [RFC 7518].)

### Table 4-5: Example [TEE Core] Algorithms to Support KEYWRAP-PRIMITIVE-TYPE

Key Management Algorithms	[TEE Core] Algorithms
RSA1_5	TEE_ALG_RSASSA_PKCS1_V1_5_SHA256
ECDH-ES+A128KW	TEE_ALG_ECDH_DERIVE_SHARED_SECRET
ECDH-ES+A256KW	TEE_ALG_ECDH_DERIVE_SHARED_SECRET

*Note:* Refer to section 2.10 for additional information.

### 4.13 CERT-PRIMITIVE-TYPE

The CERT-PRIMITIVE-TYPE is the base64 encoded representation of an X.509 certificate.

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### 4.14 OPERATION-RESPONSE-PRIMITIVE-TYPE

One of the following strings:

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528	OPERATION_SUCCESS
529	ERR_DEV_STATE_MISMATCH
530	ERR_SD_NOT_EMPTY
531	ERR_SDID_ALREADY_USED
532	ERR_REQUEST_INVALID
533	ERR_SPCERT_INVALID
534	ERR_TA_ALREADY_INSTALLED
535	ERR_TA_INVALID
536	ERR_TA_NOT_FOUND
537	ERR_TEE_BUSY
538	ERR_TEE_FAIL
539	ERR_TEE_RESOURCE_FULL
540	ERR_TEE_UNKNOWN
541	ERR_TFW_NOT_TRUSTED
542	ERR_OWE_NOT_TRUSTED
543	ERR_OCSP_INVALID
544	ERR_UNSUPPORTED_CRYPTO_ALG
545	ERR_UNSUPPORTED_MSG_VERSION

Where the values have the following meanings:

- OPERATION\_SUCCESS: Returned when the corresponding request message has been processed successfully.
- ERR\_DEV\_STATE\_MISMATCH: Returned when the DSI hash value from OWE doesn't match with that of device's current DSI.
- ERR\_SD\_NOT\_EMPTY: Returned when an OWE tries to delete an SD that contains one or more TAs.
- ERR\_SDID\_ALREADY\_USED: Returned when an OWE requests creation of an SD with a UUID that already exists in the namespace of the OWE in the TEE.
- ERR\_REQUEST\_INVALID: Returned when any of the following conditions occurs:
  - o Request message is not supported by rSD.
  - Request message has an invalid message structure; e.g. mandatory element is absent, or undefined elements or structures are included.
- Failure to verify message signature.
- o Failure to decrypt CONTENT-ENCRYPTION-TYPE value.

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- o Insufficient privilege to perform an operation (e.g. deletion of a TA from an SD that the OWE is not allowed to access).
  - ERR\_SPCERT\_INVALID: Returned when the new SP-CERT provided while updating an SD is not valid.
    - ERR\_TA\_ALREADY\_INSTALLED: Returned when an OWE requests installation of a TA with a given UUID and a version that already exists.
      - ERR\_TA\_INVALID: Returned when any of the following conditions occurs while checking validity of a TA:
        - o TA binary has a format that TEE doesn't recognize.
        - o TEE fails to decrypt the encoding of TA binary and personalization data.
        - o If the SP isn't registered with the SD where a TA is to be installed.
        - During an update, if the version of the TA is lower than the current version installed.
      - If the TA version information provided in the request message is different than the TA version associated with the TA binary.
      - ERR TA NOT FOUND: Returned when the target TA doesn't exist in the SD.
      - ERR\_TEE\_BUSY: Returned when the device TEE is currently busy.
      - ERR\_TEE\_FAIL: Returned when any of the following conditions occurs:
        - TEE fails to respond to an OWE request. The OTrP Agent will construct an error message in responding to the OWE's request.
        - o TEE fails to process a request because of its internal error.
    - ERR\_TEE\_RESOURCE\_FULL: Returned when a device resource is no longer available, such as storage space is full.
      - ERR\_TEE\_UNKNOWN: Returned when the TEE is not supposed to receive the request, as determined by checking the TEE name or device identifier (did) in the request message.
      - ERR\_TFW\_NOT\_TRUSTED: Returned when the TEE determines that the underlying device firmware is not trustworthy.
      - ERR\_OWE\_NOT\_TRUSTED: Returned when the OWE-CERT chain cannot be validated using the root CA certificate in the OWE-WHITELIST while processing a request message.
    - ERR OCSP INVALID: Returned when the OCSP stapling is either invalid, not available, or expired.
- ERR\_UNSUPPORTED\_CRYPTO\_ALG: Returned when a request message contains CONTENT-591 ENCRYPTION-TYPE value encrypted with a cryptographic algorithm that the TEE doesn't support.
- ERR\_UNSUPPORTED\_MSG\_VERSION: Returned when the OTrP version of the request message is not supported by the TEE.

### 4.15 DSI-TYPE

The JSON structure that contains the DSI value. This structure SHALL be used to calculate the dsihash value.

```
597 {
598     "dsi":DSI-CONTENT-TYPE
599 }
```

600 Where:

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• dsi: The device state information value structured as DSI-CONTENT-TYPE.

### 4.16 DSI-CONTENT-TYPE

The JSON structure that describes the current DSI is as follows:

```
605 {
606    "tfwdata":TRUSTED-FIRMWARE-TYPE,
607    "tee":TEE-DESCRIPTION-TYPE
608 }
```

- 609 Where:
  - tfwdata: (OPTIONAL) The trusted firmware information structured as TRUSTED-FIRMWARE-TYPE.
  - tee: The underlying trusted execution environment information structured as TEE-DESCRIPTION-TYPE.

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### 4.17 TRUSTED-FIRMWARE-TYPE

A TRUSTED-FIRMWARE-TYPE provides information regarding the trusted firmware on the device. It is structured according to the JWS scheme and the TFW key is used to generate the signature.

### Where:

- payload: The string representing a challenge that the TFW SHALL sign. The tid value from the corresponding GetDeviceTEEStateRequest is used as the challenge.
- protected: The JWS protected header element structured as PROTECTED-HEADER-TYPE. The
  PROTECTED-HEADER-TYPE SHALL only include the "alg" element that indicates the cryptographic
  algorithm used to sign the payload.
- header: The JWS header element structured as HEADER-TYPE. The x5c element of the HEADER-TYPE SHALL contain the TFW-CERT represented as CERT-PRIMITIVE-TYPE. Implementation property gpd.tee.firmware.implementation.binaryversion as defined in [TEE Core] section 4.7 may be use to extract TFW-CERT from the TFW.
- signature: The base64url encoded signature calculated according to the JWS scheme.

Note: The interface for the TEE to request the signature over a challenge from the trusted firmware is implementation specific.

### 4.18 TEE-DESCRIPTION-TYPE

A JSON structure that describes the TEE available on the device.

```
640
641
              "name": "PRINTABLE-STRING-PRIMITIVE-TYPE",
642
              "teever": "GPD-VERSION-TYPE",
643
              "cert": "CERT-PRIMITIVE-TYPE",
644
              "cacert":["CERT-PRIMITIVE-TYPE"],
645
              "sdlist": [SD-DEFINITION-TYPE],
646
              "teeaiklist":[TEE-AIK-TYPE],
              "isaset": ISA-TYPE,
647
              "teeImplementationProperty":[TEE-PROPERTY-TYPE]
648
649
      }
```

### 650 Where:

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- name: A zero-terminated string that describes the TEE to connect to. Its value matches the
  parameter name used to connect to a TEE while initializing a context using the
  TEEC InitializeContext. For detail, refer to [TEE Client] section 4.5.2.
- teever: The TEE version structured as GPD-VERSION-TYPE.
- cert: The TEE-CERT represented as CERT-PRIMITIVE-TYPE.
- cacent: The X.509 certificate chain starting with the CA certificate that issued the TEE-CERT up to the root CA certificate structured as the CERT-PRIMITIVE-TYPE array.
- sdlist: An array of SD-DEFINITION-TYPE, where each element of the array provides the metadata of an SD that a given OWE has access to. This element SHALL be excluded if the rSD that prepares this JSON object is an rSD<sub>TEE</sub>.
- teeaiklist: An array of TEE-AIK-TYPE, where each element of the array provides information related to an SP-AIK. This element SHALL be excluded if the rSD that prepares this JSON object is an rSD<sub>TEE</sub>.
- isaset: (OPTIONAL) Instruction set and architecture definition based on ISA Type defined in [TMF ASN.1] section 9.14.
- teeImplementationProperty: (OPTIONAL) Lists the TEE properties. For more information on TEE properties, refer to [TMF ASN.1] section A.5. This element SHALL only be included if the rSD that prepares this JSON structure is an rSD<sub>TEE</sub>. However, if the TEE has TMF ASN.1 audit SD capabilities, then OTrP SHALL provide the following valid API name string to be used with the optionalApis attribute of TEE Type, defined in [TMF ASN.1] section 9.1.6.

**Table 4-6: Internal API Names Strings Definition** 

Strings	Description
TMF-OTrP-Profile	OTrP Profile of TEE Management Framework

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### 4.19 SD-DEFINITION-TYPE

A JSON structure that describes the metadata information of an SD.

681 Where:

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- sdid: The base64 encoded UUID of the SD.
- spid: The base64 encoded Service Provider identifier that is associated with the SD. See section 2.6 for the spid value generation.
- protocol: (OPTIONAL) The base64 encoded data that informs the OWE that the SD supports TMF commands in addition to OTrP Profile. The format of the protocol SHALL be a SecureLayerAuditInfo as defined in [TMF ASN.1] section 9.1.1.
- talist: An array of TA-DEFINITION-TYPE, where each element of the array provides information about a TA installed within the context of the SD.

### 4.20 TA-DEFINITION-TYPE

A JSON structure that provides the version number information and the UUID of a TA.

```
692 {
693     "taid":"PRINTABLE-STRING-PRIMITIVE-TYPE",
694     "taver":"PRINTABLE-STRING-PRIMITIVE-TYPE"
695 }
```

696 Where:

697

698

- taid: The base64 encoded UUID of a TA.
- taver: The string containing the TA version information. The TA version information SHALL use the gpd.ta.version property defined in [TEE Core].

#### 4.21 ISA-TYPE

A JSON structure that describes the details of an instructional set and architecture that may be used by Trusted Applications. For details, refer to [TMF ASN.1] section 9.1.4, ISA Type.

```
703
      {
704
             "isaName": "PRINTABLE-STRING-PRIMITIVE-TYPE",
705
             "processorType": "PRINTABLE-STRING-PRIMITIVE-TYPE",
706
             "instructionSet": "PRINTABLE-STRING-PRIMITIVE-TYPE",
707
             "addressSize":INTEGER-PRIMITIVE-TYPE,
708
             "abi": "PRINTABLE-STRING-PRIMITIVE-TYPE",
709
             "endianness": INTEGER-PRIMITIVE-TYPE
      }
710
```

711 Where:

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715716

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- isaName: Specifies a human readable description of the instruction set architecture.
- processorType: Indicates the type of the processor as a string.
- instructionSet: The instruction set as a string.
  - addressSize: The size of addresses in bits as a number.
    - abi: The Application Binary Interface in use.
- endianness: How values greater than 1 byte in length are stored.

### 4.22 TEE-PROPERTY-TYPE

A JSON structure that provides the TEE property information. TEE properties are detailed in [TMF ASN.1] section A.5. OTrP Profile SHALL use only the TEE property gpd.tee.tmf.resetpreserved.entities.

725 Where:

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- PROPERTY-NAME: A string that identifies the TEE property as described in [TMF ASN.1] section A.5.
- PROPERTY-VALUE: The value of the TEE property. OTrP Profile passes only the TEE property gpd.tee.tmf.resetpreserved.entities. Therefore, the PROPERTY-VALUE is the UUID-ARRAY-TYPE.

#### 4.23 TEE-AIK-TYPE

732 A JSON structure that describes the SP-AIK-PUB information associated with an SP.

```
733 {
734          "spaik":PUB-KEY-TYPE,
735          "spid":"PRINTABLE-STRING-PRIMITIVE-TYPE"
736 }
737
```

- 738 Where:
- spaik: SP-AIK-PUB key structured as PUB-KEY-TYPE.
- spid: The Service Provider identifier associated with the SP-AIK key.
- 741

#### 4.24 PUB-KEY-TYPE

- 743 The PUB-KEY-TYPE is a public key structured according to JSON Web Key (JWK) ([RFC 7517]).
- 744 An RSA-based PUB-KEY-TYPE is structured as:

```
745 {
746    "kty":"RSA",
747    "n":"PRINTABLE-STRING-PRIMITIVE-TYPE",
748    "e":"PRINTABLE-STRING-PRIMITIVE-TYPE"
749 }
```

750 Where:

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- kty: The JWK Key Type parameter indicating the cryptographic algorithm used with the key. The kty value for RSA public keys is fixed to the string "RSA".
  - n: The base64urlUInt encoded RSA public key modulus n.
  - e: The base64urlUInt encoded RSA public key exponent e.
- 756 An ECC-based PUB-KEY-TYPE is structured as:

```
757 {
758    "kty":"EC",
759    "crv":"PRINTABLE-STRING-PRIMITIVE-TYPE",
760    "x":"PRINTABLE-STRING-PRIMITIVE-TYPE",
761    "y":"PRINTABLE-STRING-PRIMITIVE-TYPE"
762 }
```

763 Where:

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- kty: The kty value for ECC keys is fixed to the string "EC".
- crv: A string defining the curve type used with the ECC key.
- x: The base64url encoded x component of the ECC key.
- y: The base64url encoded y component of the ECC key.

**Note:** The curve values are listed in [RFC 7518]. However, other curve values may be used, as discussed in section 2.10. See Table B-1 for string identifiers of these curves.

#### 772 4.25 OCSP-ARRAY-TYPE

773 ["PRINTABLE-STRING-PRIMITIVE-TYPE"]

A JSON array of OCSP stapling. Each element is a base64 encoded string. Multiple elements SHALL be represented using comma separation.

776

777

#### 4.26 UUID-ARRAY-TYPE

778 ["PRINTABLE-STRING-PRIMITIVE-TYPE"]

A JSON array containing a base64 encoded UUID string. Multiple elements SHALL be represented using comma separation.

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786 787

788 789

#### 4.27 GPD-VERSION-TYPE

The version number SHALL be represented as a string of the following form:

"GPD.TEE.[Major].[Minor].[Maintenance].[RFU]"

785 Where:

- Major: The major version number of the specification.
- Minor: The minor version number of the specification.
- Maintenance: The maintenance version number of the specification. If the version is not a maintenance release, this SHALL be zero.
- RFU: Reserved for future use. Currently this byte SHALL be zero.

791

790

```
792 "GPD.TEE.[Major].[Minor].[Maintenance].[RFU]"
```

- 793 There SHALL be no leading zeros and the string may contain only digits and ".".
- A zero value SHALL be represented by a "0" and not an empty position.
- For example, an OTrP message based on the initial version of this specification would indicate the version as the string "GDP.TEE.1.0.0.0".

# 5 OTrP Messages

OTrP messages follows a request-response pattern. The OTrP messages are categorized into three types: unprivileged messages, privileged messages, and TEE management messages. OTrP messages SHALL use the following naming structure for request and response strings, where xyz is the message name:

802 Table 5-1: Request/Response String Naming

OTrP Message	Request/Response String Naming
A request message that is not yet signed	xyzTBSRequest
A response message that is not yet signed	xyzTBSResponse
A request message sent to a TEE	xyzRequest
A response message returned from a TEE	xyzResponse

**Note:** TEE management messages are OPTIONAL and may not be supported by all OTrP Profile implementations.

# 5.1 Unprivileged Messages

Unprivileged messages SHALL NOT be signed. They SHALL be formatted as follows:

```
809 {
810 "NAME":UNPRIVILEGED-COMMAND-TYPE
811 }
```

812 Where:

798

799

800

801

803 804

805 806

807

808

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• NAME SHALL be a choice of one of the following strings:

```
GetTAInformationRequest
GetTAInformationResponse
```

818

819

824

# 5.2 Privileged Messages

Privileged messages SHALL always be signed by the sender. Every privileged message SHALL be formatted as follows:

```
820 {
821 "NAME":COMMAND-TYPE
822 }
```

- 823 Where:
  - NAME SHALL be a choice of one of the following strings:

```
825
               GetDeviceTEEStateRequest
826
               GetDeviceTEEStateResponse
               CreateSDRequest
827
828
               CreateSDResponse
829
               UpdateSDRequest
830
               UpdateSDResponse
831
               DeleteSDRequest
832
               DeleteSDResponse
833
                InstallTARequest
834
                InstallTAResponse
835
               UpdateTARequest
836
               UpdateTAResponse
837
               DeleteTARequest
838
               DeleteTAResponse
```

COMMAND-TYPE: contains the corresponding signed message.

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A privileged message SHALL be created as follows:

- The sender produces a COMMAND-TBS-TYPE JSON object appropriate for the message type.
- The sender uses its private key to calculate a signature over the base64 encoded value of the COMMAND-TBS-TYPE. A privileged message is signed according to the JWS scheme.
- The signature value and the COMMAND-TBS-TYPE are enclosed into a COMMAND-TYPE.
- The COMMAND-TYPE is finally enclosed into the OTrP message, with the command NAME string inserted.

Only OTrP request messages SHALL include the OWE-CERT as a part of the HEADER-TYPE element in the COMMAND-TYPE. The public key associated with the OWE-CERT SHALL be used to verify the signature. OTrP response SHALL NOT include its TEE-CERT as a part of the HEADER-TYPE element but SHALL include its TEE-CERT as a part of the GET-DEVICE-TEE-STATE-RESPONSE message for privacy reasons.

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# **5.3 TEE Management Messages**

TEE management messages are a set of **optional** OTrP messages that is intended for managing TEEs. Only the OWE whose OWE-CERT chains to the root CA certificate in the OWE-WHITELIST of the rSD<sub>TEE</sub> SHALL be allowed to issue TEE management messages. The TEE management messages SHALL always be sent to and processed by an rSD<sub>TEE</sub>. Prior to sending TEE management messages, the OWE SHALL initiate an OTrP session with rSD<sub>TEE</sub> by sending a GetDeviceTEEStateRequest message.

859 Similarly to privileged messages, TEE management messages SHALL be formatted as follows:

```
860 {
861  "NAME":COMMAND-TYPE
862 }
```

863 Where:

864

• NAME SHALL be a choice of one of the following strings:

```
StoreTEEPropertyRequest

StoreTEEPropertyResponse

StoreTEEPropertyResponse

FactoryResetRequest

FactoryResetResponse
```

• COMMAND-TYPE: contains the corresponding signed message.

TEE management messages SHALL be created in a similar fashion as the privileged messages.

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# 5.4 GetTAInformationRequest

The GetTAInformationRequest is an unprivileged message which SHALL NOT be signed by the sender. It is intended for an REE application to query the status of a TA and the TA metadata from the TEE. This message SHALL always be sent to an rSD<sub>TA</sub>.

883 Where:

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886 887

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- ver: The version of the OTrP message structured as GPD-VERSION-TYPE.
- taid: The base64 encoded UUID representing the TA identifier.
  - spid: The Service Provider identifier that signs the TA.

The rSD<sub>TA</sub> SHALL return GetTAInformationResponse with the TA metadata only if the given TA is installed using OTrP messages. TEE SHALL return GetTAInformationResponse with a failure status for a given TA installed on the device using any method outside the scope of OTrP Profile.

#### 5.4.1 Processing Requirements

- 892 Upon receiving the GetTAInformationRequest message, the rSD<sub>TA</sub> SHALL:
  - Search all SDs to determine whether the given TA exists.
  - Ensure that the spid associated with the TA matches the given spid.

Upon successfully completing the above steps, the rSD<sub>TA</sub> prepares a response with the TA metadata. A response message GetTAInformationResponse SHALL always be returned regardless of the status of the operation.

# 5.5 GetTAInformationResponse

In response to a GetTAInformationRequest, the rSD SHALL return GetTAInformationResponse with the TA metadata for the given TA. The JSON structure for the GetTAInformationResponse SHALL be as follows:

```
901
902
```

898

899

900

```
903
              "GetTAInformationResponse": {
904
905
                     "ver": "GPD-VERSION-TYPE",
906
                      "status": "OPERATION-RESPONSE-PRIMITIVE-TYPE",
907
                     "taid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
908
                      "taver": "PRINTABLE-STRING-PRIMITIVE-TYPE",
909
                      "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
910
                      "spid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
911
                      "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE"
912
              }
      }
913
```

#### 914 Where:

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- ver: The version of the OTrP message structured as GPD-VERSION-TYPE.
- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the GetTAInformationRequest operation. If successful, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string listed in section 5.5.1.
- taid: The base64 encoded UUID representing the TA identifier.
- taver: The string containing the TA version information. In case of failure, the value may be set to null or the element may be omitted.
- sdid: The base64 encoded UUID of the parent SD of the TA. In case of failure, the value may be set to null or the element may be omitted.
- spid: The Service Provider identifier that signs the TA. Matches the corresponding GetTAInformationRequest.
- tsmid: The identifier of the OWE that is authorized to request management operations on the SD. In case of failure, the value may be set to null or the element may be omitted.

#### 5.5.1 **Error Conditions**

- If any validation listed in section 5.4.1 fails or if a TEE error occurs, the rSDTA SHALL use an appropriate 929 OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding 930 response message.
- 931
- ERR\_TA\_NOT\_FOUND 932
- ERR\_TEE\_BUSY 933
- 934 • ERR\_TEE\_FAIL
- ERR\_TEE\_RESOURCE\_FULL 935
- ERR TEE UNKNOWN 936
- ERR\_UNSUPPORTED\_MSG\_VERSION 937
- 938 See section 4.14 for details on error strings.

# 5.6 GetDeviceTEEStateTBSRequest

An OWE SHALL issue a GetDeviceTEEStateTBSRequest message to query the DSI of a target device. An OTrP session begins with this message. The message SHALL be signed using the JWS scheme and encapsulated in a GetDeviceTEEStateRequest message. However, this message SHALL NOT contain any encrypted content. The JSON structure for the GetDeviceTEEStateTBSRequest SHALL be as follows:

```
945
946
              "GetDeviceTEEStateTBSRequest":{
947
                      "ver": "GPD-VERSION-TYPE",
                      "tid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
948
949
                      "rid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
950
                      "ocspdat": OCSP-ARRAY-TYPE,
951
                      "supportedsigalgs": [SIGNATURE-PRIMITIVE-TYPE]
952
              }
953
      }
```

#### 954 Where:

955 956

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940

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942

- ver: The version of the OTrP message structured as GPD-VERSION-TYPE.
- tid: A unique value for the ongoing transaction.
- rid: A unique value for this message.
- ocspdat: OCSP-ARRAY-TYPE as described in section 4.25. The first element of the array is the OCSP stapling for validating the OWE-CERT, followed by OCSP stapling for verifying each subsequent intermediate CA in the certificate chain.
- supportedsigalgs: (OPTIONAL) A list of signature algorithms supported by the OWE. Its value is an array of SIGNATURE-PRIMITIVE-TYPE. If this element is absent, the TEE SHALL use any signature algorithm defined by the SIGNATURE-PRIMITIVE-TYPE.

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#### 5.6.1 Processing Requirements

- Upon receiving the GetDeviceTEEStateRequest message, the rSD SHALL:
- Validate the JSON web signature associated with the request, using the OWE-PUB associated with the OWE-CERT.
- Determine whether the OWE-CERT chains to a root CA certificate in the OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT and its intermediate CA certificates in the chain, using the OCSP stapling.
  - Cache the OCSP stapling for subsequent command checking. The TEE MAY use its own clock for OCSP stapling validation.
  - Challenge the TFW (if available on the device) to sign a UTF-8 encoded tid value. The signed value is included in the GetDeviceTEEStateResponse message as a part of DSI-TYPE.

Upon successfully completing the above steps, the rSD gathers DSI to prepare a response. A response message GetDeviceTEEStateResponse SHALL always be returned regardless of the status of the operation.

# 5.7 GetDeviceTEEStateTBSResponse

In response to a GetDeviceTEEStateRequest, the rSD SHALL return a GetDeviceTEEStateResponse that encapsulates a GetDeviceTEEStateTBSResponse message. The JSON structure for the GetDeviceTEEStateTBSResponse SHALL be as follows:

```
984
      {
985
              "GetDeviceTEEStateTBSResponse":{
986
                      "ver": "GPD-VERSION-TYPE",
987
                      "status": "OPERATION-RESPONSE-PRIMITIVE-TYPE",
988
                      "rid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
989
                      "tid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
990
                      "signerreq":BOOLEAN,
991
                      "content": CONTENT-ENCRYPTION-TYPE
992
              }
993
      }
```

#### Where:

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- ver: The version of the OTrP message structured as GPD-VERSION-TYPE.
- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the GetDeviceTEEStateRequest operation. If successful, the value of status SHALL be OPERATION SUCCESS; otherwise its value SHALL be an error string listed in section 5.7.1.
- rid: A unique value identifying the GetDeviceTEEStateRequest message.
- tid: A unique value identifying the OTrP session. Matches the tid value in GetDeviceTEEStateRequest message.
- signerreq: A Boolean value that indicates whether the OWE should send its signer certificate and OCSP stapling again in the subsequent messages. It is recommended that the signerreq value is set to false. If the value is set to false, the TEE SHALL cache the OWE signer certificate and OCSP stapling.
- content: JWE encrypted data as a CONTENT-ENCRYPTION-TYPE.

The following JSON structure SHALL be used as an input to the JWE while generating CONTENT-1008 ENCRYPTION-TYPE.

```
1009 {
1010     "dsi":DSI-CONTENT-TYPE,
1011     "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1012 }
```

#### 1013 Where:

- 1014 • dsi: The DSI-CONTENT-TYPE that represents the current device state.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See 1015 section 2.10.4 for details. 1016

#### 1017 5.7.1 **Error Conditions**

- 1018 If any validation listed in section 5.6.1 fails or if a TEE error occurs, the rSD SHALL use an appropriate OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding 1019
- 1020 response message.
- 1021 • ERR REQUEST INVALID
- 1022 • ERR\_TFW\_NOT\_TRUSTED
- 1023 ERR\_OWE\_NOT\_TRUSTED
- 1024 ERR\_OCSP\_INVALID
- ERR\_TEE\_BUSY 1025
- ERR TEE FAIL 1026
- 1027 • ERR\_TEE\_RESOURCE\_FULL
- 1028 • ERR\_TEE\_UNKNOWN
- 1029 ERR UNSUPPORTED CRYPTO ALG
- 1030 • ERR\_UNSUPPORTED\_MSG\_VERSION
- 1031 See section 4.14 for details on error strings.
- 1032

# 5.8 CreateSDTBSRequest

An OWE SHALL issue a CreateSDTBSRequest message to create a new Security Domain with the given parameters. The message SHALL be signed using the JWS scheme and encapsulated in a CreateSDRequest message. This message SHALL always be sent to the rSD<sub>TA</sub>. The JSON structure for the CreateSDTBSRequest SHALL be as follows:

Within the COMMAND-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1043
       {
1044
              "spid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1045
              "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1046
              "spcert": "CERT-PRIMITIVE-TYPE",
1047
              "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1048
              "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1049
              "sd_data": "PRINTABLE-STRING-PRIMITIVE-TYPE"
1050
      }
```

#### 1051 Where:

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- spid: The base64 encoded Service Provider identifier that is to be associated with the SD. See section 2.6 for the spid generation.
- sdid: The base64 encoded UUID of the SD to be created. The sdid SHALL remain unchangeable throughout its life cycle.
- spcert: SP-CERT formatted as CERT-PRIMITIVE-TYPE that is to be associated with the SD. Only
  TAs that are signed using a key associated with the SP-CERT SHALL be allowed to be installed in the
  SD.
- tsmid: The identifier of the OWE that issued the request.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
- sd\_data: (OPTIONAL) The base64url encoded SD personalization data. This element may be used
  to equip the SD with credentials required to support TMF commands. The format of the SD
  personalization data SHALL be a DER-encoded StoredDataObject as defined in [TMF ASN.1]
  section 8.3.3.6.

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#### 5.8.1 Processing Requirements

- 1067 Before authorizing SD creation, the rSD<sub>TA</sub> SHALL:
  - Validate the JSON web signature associated with this request.
  - Determine whether the OWE-CERT chains to a root CA certificate in OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is
    unavailable or expired, the rSD SHALL return the corresponding response with an error string along
    with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE
    may reissue the request with OCSP stapling.
    - Compare the dsihash value to the SHA-256 hash of the internal DSI-TYPE to ensure that the DSI has not changed since the last changes requested by the OWE.
    - Compare nonce to the last nextnonce sent to the OWE to ensure that no new operation has been authorized on SDs and TAs associated with the OWE since the last operation requested by the OWE.
    - Decrypt the ciphertext element of the CONTENT-ENCRYPTION-TYPE to obtain the SD information.
    - Validate the format of the spcert.
    - Verify the did to ensure that the request is intended for the correct device.
    - Verify that the SD with the given sdid does not already exist.
    - Verify that the tsmid matches the OWE identifier in the OWE-CERT to ensure that the OWE issuing the request has access to the SD. See section 2.9 for details.

Upon successfully completing the above processing, the SD with the given parameters SHALL be created. If the spid associated with the SD is not assigned to any SDs on the device, then the TEE SHALL also generate a key pair called SP-AIK. A response message CreateSDResponse SHALL always be returned regardless of the status of the operation.

# 5.9 CreateSDTBSResponse

In response to a CreateSDRequest, the rSD<sub>TA</sub> SHALL return a CreateSDResponse, encapsulating the CreateSDTBSResponse message. The JSON structure for the CreateSDTBSResponse is as follows:

```
1093 {
1094 "CreateSDTBSResponse":RESPONSE-PARAMETER-TYPE
1095 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1098
       {
1099
              "status": "OPERATION-RESPONSE-PRIMITIVE-TYPE",
1100
              "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1101
               "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1102
              "spaik": PUB-KEY-TYPE,
1103
              "dsi":DSI-CONTENT-TYPE,
1104
               "nextnonce": "PRINTABLE-STRING-PRIMITIVE-TYPE"
1105
       }
```

#### 1106 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the CreateSDRequest operation. If the SD is created successfully, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be one of the error strings listed in section 5.9.1.
- did: The value of did from the CreateSDRequest.
- sdid: The value of the sdid from the CreateSDRequest.
- spaik: The SP-AIK-PUB key structured as PUB-KEY-TYPE. This element is only returned if the request caused a new SP-AIK to be generated.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the CreateSDRequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

### 1119 **5.9.1 Error Conditions**

- 1120 If any validation listed in section 5.8.1 fails or if a TEE error occurs, the rSD<sub>TA</sub> SHALL use an appropriate
- 1121 OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding
- 1122 response message.
- 1123 ERR\_REQUEST\_INVALID
- 1124 ERR\_OWE\_NOT\_TRUSTED
- 1125 ERR\_OCSP\_INVALID
- 1126 ERR\_DEV\_STATE\_MISMATCH
- ERR\_SDID\_ALREADY\_USED
- 1128 ERR\_SPCERT\_INVALID
- 1129 ERR\_TEE\_BUSY
- 1130 ERR\_TEE\_FAIL
- ERR\_TEE\_RESOURCE\_FULL
- 1132 ERR TEE UNKNOWN
- 1133 ERR\_UNSUPPORTED\_CRYPTO\_ALG
- ERR\_UNSUPPORTED\_MSG\_VERSION
- 1135 See section 4.14 for details on error strings.
- 1136

# 5.10 UpdateSDTBSRequest

An OWE SHALL issue an UpdateSDTBSRequest message to update SD metadata with the given parameters. The message SHALL be signed using the JWS scheme and encapsulated in an UpdateSDRequest message. This message SHALL always be sent to rSD<sub>TA</sub>. A JSON structure for the UpdateSDTBSRequest SHALL be as follows:

```
1142 {
1143    "UpdateSDTBSRequest":COMMAND-PARAMETER-TYPE
1144 }
```

Within the COMMAND-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE:

```
1147
       {
1148
              "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1149
              "spid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1150
              "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1151
              "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1152
              "changes":{
1153
                      "newspid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1154
                      "spcert":["CERT-PRIMITIVE-TYPE"],
1155
                      "deloldspcert":["PRINTABLE-STRING-PRIMITIVE-TYPE"],
1156
                      "sd_data": "PRINTABLE-STRING-PRIMITIVE-TYPE"
1157
              }
1158
      }
```

1159 Where:

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- tsmid: The identifier of the OWE that issued the request.
- spid: The base64 encoded service provide identifier that is associated with the SD.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
  - sdid: The base64 encoded UUID representing the SD to be updated.
  - changes: A JSON structure containing parameters to be updated. All elements within this JSON structure are optional.
  - newspid: (OPTIONAL) The new base64 encoded service provide identifier that is to be associated with the SD. If the newspid is not associated with any existing SDs in the device, the rSD SHALL generate a new SP-AIK key pair for the newspid.
  - spcert: (OPTIONAL) An array of SP-CERTs formatted as CERT-PRIMITIVE-TYPE that is to be associated with the SD.

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- deloldspcert: (OPTIONAL) The base64 encoded SHA-256 hash value of SP-CERTs previously assigned to the SD that are to be deleted.
- sd\_data: (OPTIONAL) The base64 encoded SD personalization data. This element may be used to equip the SD with credentials required to support TMF commands. The format of the SD personalization data SHALL be a DER-encoded StoredDataObject as defined in [TMF ASN.1] section 8.3.3.6.

# 1179 **5.10.1 Processing Requirements**

- 1180 Before authorizing the SD update, the rSD<sub>TA</sub> SHALL:
  - Validate the JSON web signature associated with the request.
  - Determine whether the OWE-CERT chains to a root CA certificate in OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is unavailable or expired, the rSD SHALL return the corresponding response with an error string along with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE may reissue the request with OCSP stapling.
  - Compare the dsihash value to the SHA-256 hash of the internal DSI-TYPE to ensure that the DSI has not changed since the last changes requested by the OWE.
  - Compare nonce to the last nextnonce sent to the OWE to ensure that no new operation has been authorized on SDs and TAs associated with the OWE since the last operation requested by the OWE.
  - Decrypt the ciphertext element of the CONTENT-ENCRYPTION-TYPE to obtain the update parameters.
  - Verify the did to ensure that the request is intended for the correct device.
  - Verify that the SD with the given sdid exists.
  - Verify that the tsmid matches the OWE identifier in the OWE-CERT to ensure that the OWE issuing the request has access to the SD. See section 2.9 for details.

Upon successfully completing the above requirements, the specified SD is updated with the given parameters.

If the update operation results in generation of a new SP-AIK, the newly generated SP-AIK SHALL replace the existing SP-AIK. A response message UpdateSDResponse SHALL always be returned regardless of the status of the operation.

# 5.11 UpdateSDTBSResponse

In response to an UpdateSDRequest, the rSD<sub>TA</sub> SHALL return an UpdateSDResponse, encapsulating the UpdateSDTBSResponse message. The JSON structure for the UpdateSDTBSResponse SHALL be as follows:

```
1206 {
1207    "UpdateSDTBSResponse":RESPONSE-PARAMETER-TYPE
1208 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE:

1218 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the UpdateSDRequest operation. If the SD is updated successfully, the value of status SHALL be OPERATION SUCCESS; otherwise its value SHALL be an error string listed in section 5.11.1.
- did: The value of did from the UpdateSDRequest.
- spaik: The SP-AIK-PUB key structured as PUB-KEY-TYPE. This element is only returned if the UpdateSDRequest causes the rSD<sub>TA</sub> to generate a new SP-AIK.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the UpdateSDRequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

### 1230 **5.11.1 Error Conditions**

- 1231 If any validation listed in section 5.10.1 fails or if a TEE error occurs, the rSD<sub>TA</sub> SHALL use an appropriate
- 1232 OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding
- 1233 response message.
- 1234 ERR\_REQUEST\_INVALID
- 1235 ERR\_OWE\_NOT\_TRUSTED
- 1236 ERR\_OCSP\_INVALID
- 1237 ERR\_DEV\_STATE\_MISMATCH
- 1238 ERR\_SPCERT\_INVALID
- 1239 ERR\_TEE\_BUSY
- 1240 ERR\_TEE\_FAIL
- ERR\_TEE\_RESOURCE\_FULL
- 1242 ERR\_TEE\_UNKNOWN
- ERR UNSUPPORTED CRYPTO ALG
- ERR\_UNSUPPORTED\_MSG\_VERSION
- 1245 See section 4.14 for details on error strings.
- 1246

# 5.12 DeleteSDTBSRequest

- 1248 An OWE SHALL issue a DeleteSDTBSRequest message to delete a specified SD and optionally delete all
- 1249 TAs contained within the SD. The message SHALL be signed using the JWS scheme and encapsulated in a
- 1250 DeleteSDRequest message. This message SHALL always be sent to the rSD<sub>TA</sub>. The JSON structure for the
- 1251 DeleteSDTBSRequest SHALL be as follows:

```
1252 {
1253    "DeleteSDTBSRequest":COMMAND-PARAMETER-TYPE
1254 }
```

Within the COMMAND-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE:

```
1257 {

1258     "tsmid":"PRINTABLE-STRING-PRIMITIVE-TYPE",

1259     "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",

1260     "sdid":"PRINTABLE-STRING-PRIMITIVE-TYPE",

1261     "deletetas":BOOLEAN

1262 }
```

1263 Where:

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- tsmid: The identifier of the OWE that issued the request.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
- sdid: The base64 encoded UUID representing the SD to be deleted.
- deletetas: A Boolean value indicating whether to delete all TAs within the SD. If set as false, deleting an SD with one or more TAs installed SHALL cause a failure.

#### 5.12.1 Processing Requirements

- 1272 Before authorizing the deletion of the SD, the rSD<sub>TA</sub> SHALL:
  - · Validate the JSON web signature associated with the request.
  - Determine whether the OWE-CERT chains to a root CA certificate in the OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is unavailable or expired, the rSD SHALL return the corresponding response with an error string along with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE may reissue the request with OCSP stapling.
  - Compare the dsihash value to the SHA-256 hash of the internal DSI-TYPE to ensure that the DSI has not changed since the last changes requested by the OWE.
  - Compare nonce to the last nextnonce sent to the OWE to ensure that no new operation has been authorized on SDs and TAs associated with the OWE since the last operation requested by the OWE.

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- Decrypt the ciphertext element of the CONTENT-ENCRYPTION-TYPE to obtain the deletion parameters.
  - Verify the did to ensure that the request is intended for the correct device.
  - Verify that the tsmid matches the OWE identifier in the OWE-CERT to ensure that the OWE issuing the request has access to the SD. See section 2.9 for details.
  - Ensure that, if deletetas is set to false, the SD contains no TAs; otherwise the deletion SHALL be aborted, resulting in a failure.

Upon successfully completing the above requirements, the specified SD SHALL be deleted. A response message DeleteSDResponse SHALL always be returned regardless of the status of the operation.

# 5.13 DeleteSDTBSResponse

In response to a DeleteSDRequest command, the rSD<sub>TA</sub> SHALL return a DeleteSDResponse, encapsulating the DeleteSDTBSResponse message. The JSON structure for the DeleteSDTBSResponse is as follows:

```
1297 {
1298 "DeleteSDTBSResponse":RESPONSE-PARAMETER-TYPE
1299 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1302 {
1303     "status":"OPERATION-RESPONSE-PRIMITIVE-TYPE",
1304     "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1305     "dsi":DSI-CONTENT-TYPE,
1306     "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1307 }
```

1308 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the DeleteSDRequest operation. If the SD is deleted successfully, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string listed in section 5.13.1.
- did: The value of did from the DeleteSDRequest.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the DeleteSDRequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

### 1317 5.13.1 Error Conditions

- 1318 If any validation listed in section 5.12.1 fails or if a TEE error occurs, the rSD $_{TA}$  SHALL use an appropriate OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding
- 1320 response message.
- 1321 ERR\_REQUEST\_INVALID
- 1322 ERR\_OWE\_NOT\_TRUSTED
- 1323 ERR\_OCSP\_INVALID
- ERR\_DEV\_STATE\_MISMATCH
- 1325 ERR\_SPCERT\_INVALID
- 1326 ERR\_SD\_NOT\_EMPTY
- 1327 ERR\_TEE\_BUSY
- 1328 ERR\_TEE\_FAIL
- ERR\_TEE\_RESOURCE\_FULL
- 1330 ERR TEE UNKNOWN
- ERR\_UNSUPPORTED\_CRYPTO\_ALG
- ERR\_UNSUPPORTED\_MSG\_VERSION
- 1333 See section 4.14 for details on error strings.

# 5.14 InstallTATBSRequest

1336 An OWE SHALL issue an InstallTATBSRequest message to install a specified TA into a specified Security

Domain. The message SHALL be signed using the JWS scheme and encapsulated in an InstallTARequest

message. This message SHALL always be sent to  $rSD_{TA}$ . The JSON structure for InstallTATBSRequest

1339 is as follows:

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Within the COMMAND-HEADER-TYPE (section 4.4), the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1345
       {
1346
               "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1347
               "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1348
              "spid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1349
               "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1350
              "spcert": "CERT-PRIMITIVE-TYPE",
1351
               "taid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1352
              "taver": "PRINTABLE-STRING-PRIMITIVE-TYPE"
1353
      }
```

1354 Where:

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- tsmid: The identifier of the OWE that issued the request.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
- spid: The base64 encoded Service Provider identifier that is associated with the SD.
- sdid: The base64 encoded UUID of the SD where the TA is to be installed.
  - spcert: (OPTIONAL) The SP-CERT formatted as CERT-PRIMITIVE-TYPE that signed the TA. This element is provided when the TA is signed with an SP-CERT that was not previously associated with the SD.
  - taid: The base64 encoded UUID of the TA to be installed.
- taver: The string containing the TA version information.

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Additionally, the InstallTATBSRequest SHALL include the following JSON elements in the COMMAND-1367 PARAMETER-TYPE.

```
1368 "encrypted ta bin": CONTENT-ENCRYPTION-TYPE
```

- 1369 "encrypted\_ta\_data":CONTENT-ENCRYPTION-TYPE
- encrypted\_ta\_bin: An encrypted TA binary structured as a CONTENT-ENCRYPTION-TYPE where the CEK is wrapped using the SP-AIK-PUB.
  - encrypted\_ta\_data: (OPTIONAL) An encrypted TA personalization data structured as a
     CONTENT-ENCRYPTION-TYPE where the CEK is wrapped using the SP-AIK-PUB. The TA should be
     able to access the personalization data via interfaces defined in [TEE Core]. The format of the SD
     personalization data SHALL be a DER-encoded StoredDataObject as defined in [TMF ASN.1]
     section 8.3.3.6.

#### **5.14.1 Processing Requirements**

- 1379 Before authorizing the deletion of the SD, the rSD<sub>TA</sub> SHALL:
  - Validate the JSON web signature associated with the request.
  - Determine whether the OWE-CERT chains to a root CA certificate in the OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is
    unavailable or expired, the rSD SHALL return the corresponding response with an error string along
    with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE
    may reissue the request with OCSP stapling.
  - Compare the dsihash value to the SHA-256 hash of the internal DSI-TYPE to ensure that the DSI has not changed since the last changes requested by the OWE.
  - Compare nonce to the last nextnonce sent to the OWE to ensure that no new operation has been authorized on SDs and TAs associated with the OWE since the last operation requested by the OWE.
  - Decrypt the ciphertext element of the CONTENT-ENCRYPTION-TYPE to obtain the TA information.
  - Validate the format of the spcert.
  - Verify the did to ensure that the request is intended for the correct device.
  - Verify that the tsmid matches the OWE identifier in the OWE-CERT to ensure that the OWE issuing the request has access to the SD. See section 2.9 for details.
    - Verify that, if the TA already exists in the device, the version of the TA to be installed is higher than the existing TA.
    - Use SP-AIK-PRIV key to decrypt TA binary and personalization data. If the version of the TA associated with the TA binary is different than the taver element, the rSD<sub>TA</sub> SHALL abort the update process.
    - Validate the TA signature using an SP-CERT associated with the SD. The TA signing mechanism may be specific to the TEE OS.

Upon successfully completing the above requirements, the given TA SHALL be installed into the specified SD.
 A response message InstallTAResponse SHALL always be returned regardless of the status of the operation.

# 5.15 InstallTATBSResponse

In response to an InstallTARequest, the rSD<sub>TA</sub> SHALL return an InstallTAResponse, encapsulating the InstallTATBSResponse message. The JSON structure for the InstallTATBSResponse SHALL be as follows:

```
1410 {
1411 "InstallTATBSResponse":RESPONSE-PARAMETER-TYPE
1412 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure SHALL be used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1415 {
1416     "status":"OPERATION-RESPONSE-PRIMITIVE-TYPE",
1417     "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1418     "dsi":DSI-CONTENT-TYPE,
1419     "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1420 }
```

1421 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the InstallTARequest operation. If the TA is installed successfully, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string listed in section 5.15.1.
- did: The value of did from previous messages.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the InstallTARequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

### **1431 5.15.1 Error Conditions**

- 1432 If any validation listed in section 5.14.1 fails or if a TEE error occurs, the rSD<sub>TA</sub> SHALL use an appropriate
- 1433 OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding
- 1434 response message.
- 1435 ERR\_REQUEST\_INVALID
- 1436 ERR\_OWE\_NOT\_TRUSTED
- 1437 ERR\_OCSP\_INVALID
- 1438 ERR\_DEV\_STATE\_MISMATCH
- 1439 ERR SPCERT INVALID
- ERR\_TA\_ALREADY\_INSTALLED
- 1441 ERR\_TA\_INVALID
- 1442 ERR\_TEE\_BUSY
- 1443 ERR\_TEE\_FAIL
- 1444 ERR TEE RESOURCE FULL
- 1445 ERR\_TEE\_UNKNOWN
- 1446 ERR\_UNSUPPORTED\_CRYPTO\_ALG
- ERR\_UNSUPPORTED\_MSG\_VERSION
- 1448 See section 4.14 for details on error strings.
- 1449

# 5.16 UpdateTATBSRequest

1451 An OWE SHALL issue an UpdateTATBSRequest message to update TA binary and/or TA personalization

data. The message SHALL be signed using the JWS scheme and encapsulated in an UpdateTARequest

1453 message. This message SHALL always be sent to rSD<sub>TA</sub>. The JSON structure for the UpdateTATBSRequest

1454 SHALL be as follows:

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```
1455 {
1456 "UpdateTATBSRequest":COMMAND-PARAMETER-TYPE
1457 }
```

Within the COMMAND-PARAMETER-TYPE, the following JSON structure SHALL be used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE:

```
1460
       {
1461
              "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1462
              "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1463
              "spid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1464
              "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1465
              "spcert": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1466
              "taid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1467
              "newtaver": "PRINTABLE-STRING-PRIMITIVE-TYPE"
1468
      }
1469
```

1470 Where:

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- tsmid: The identifier of the OWE that issued the request.
  - did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
  - spid: The base64 encoded Service Provider identifier that is associated with the SD.
  - sdid: The base64 encoded UUID of the SD where the TA is to be installed.
    - spcert: (OPTIONAL) The SP-CERT formatted as CERT-PRIMITIVE-TYPE that signed the TA. This element is provided when the TA is signed with a SP-CERT that was not previously associated with the SD.
    - taid: The base64 encoded UUID of the TA to be installed.
- newtaver: (OPTIONAL) The string containing the TA version information that is to be updated.

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Additionally, the UpdateTATBSRequest SHALL include at least one of the following JSON elements in the COMMAND-PARAMETER-TYPE.

```
1483 "encrypted_ta_bin":CONTENT-ENCRYPTION-TYPE
```

1484 "encrypted\_ta\_data":CONTENT-ENCRYPTION-TYPE

- encrypted\_ta\_bin: An encrypted TA binary that replaces the existing TA binary, structured as a CONTENT-ENCRYPTION-TYPE where the CEK is wrapped using the SP-AIK-PUB.
- encrypted\_ta\_data: (OPTIONAL) An encrypted TA personalization data, that replaces the existing TA personalization data, structured as a CONTENT-ENCRYPTION-TYPE where the CEK is wrapped using the SP-AIK-PUB. The TA should be able to access the personalization data via interfaces defined in [TEE Core]. The format of the SD personalization data SHALL be a DER-encoded StoredDataObject as defined in [TMF ASN.1] section 8.3.3.6.

#### 5.16.1 Processing Requirements

Before authorizing the update on the TA, the rSD<sub>TA</sub> SHALL:

- Validate the JSON web signature associated with the request.
- Determine whether the OWE-CERT chains to a root CA certificate in OWE-WHITELIST.
- Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is
  unavailable or expired, the rSD SHALL return the corresponding response with an error string along
  with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE
  may reissue the request with OCSP stapling.
- Compare the dsihash value to the SHA-256 hash of the internal DSI-TYPE to ensure that the DSI has not changed since the last changes requested by the OWE.
- Compare nonce to the last nextnonce sent to the OWE to ensure that no new operation has been authorized on SDs and TAs associated with the OWE since the last operation requested by the OWE.
- Decrypt the ciphertext element of the CONTENT-ENCRYPTION-TYPE to obtain the TA information.
- Verify the did to ensure that the request is intended for the correct device.
- Verify that the tsmid matches the OWE identifier in the OWE-CERT to ensure that the OWE issuing
  the request has access to the SD. See section 2.9 for details.
- Verify that the version of the TA to be updated is higher than the one that is currently installed. If the update command does not contain the encrypted\_ta\_bin element, the rSD<sub>TA</sub> SHALL ignore the newtaver element.
- Use the SP-AIK-PRIV key to decrypt TA binary and personalization data. If the version of the TA associated with the TA binary is different than the newtaver element, the rSD<sub>TA</sub> SHALL abort the update process.
- Validate the TA signature using an SP-CERT associated with the SD. The TA signing mechanism may be specific to the TEE OS.

Upon successfully completing the above steps, the given TA SHALL be updated. Prior to an update, the TA SHALL be forcefully shut down as defined in [TMF ASN.1] section 11. A response message UpdateTAResponse SHALL always be returned regardless of the status of the operation.

# 5.17 UpdateTATBSResponse

In response to an UpdateTARequest, the TEE SHALL return an UpdateTAResponse, encapsulating the UpdateTATBSResponse message. The JSON structure for the UpdateTATBSResponse SHALL be as follows:

```
1525 {
1526 "UpdateTATBSResponse":RESPONSE-PARAMETER-TYPE
1527 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1530 {
1531     "status":"OPERATION-REASON-PRIMITIVE-TYPE",
1532     "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1533     "dsi":DSI-CONTENT-TYPE,
1534     "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1535 }
```

1536 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the UpdateTARequest operation. If the TA is successfully updated, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string listed in section 5.17.1.
- did: The value of did from previous messages.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the UpdateTARequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

#### 1546 **5.17.1 Error Conditions**

- 1547 If any validation listed in section 5.16.1 fails or if a TEE error occurs, the  $rSD_{TA}$  SHALL use an appropriate OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding
- 1549 response message.
- 1550 ERR\_REQUEST\_INVALID
- 1551 ERR\_OWE\_NOT\_TRUSTED
- 1552 ERR\_OCSP\_INVALID
- ERR\_DEV\_STATE\_MISMATCH
- 1554 ERR\_SPCERT\_INVALID
- 1555 ERR\_TA\_ALREADY\_INSTALLED
- 1556 ERR\_TA\_INVALID
- 1557 ERR\_TEE\_BUSY
- 1558 ERR\_TEE\_FAIL
- ERR\_TEE\_RESOURCE\_FULL
- 1560 ERR\_TEE\_UNKNOWN
- 1561 ERR\_UNSUPPORTED\_CRYPTO\_ALG
- ERR\_UNSUPPORTED\_MSG\_VERSION
- 1563 See section 4.14 for details on error strings.
- 1564

# 5.18 DeleteTATBSRequest

An OWE SHALL issue a DeleteTATBSRequest message to delete a specific TA from a specified SD. The 1566 1567

message SHALL be signed using the JWS scheme and encapsulated in a DeleteTARequest message.

This message SHALL always be sent to rSDTA. The JSON structure for the DeleteTATBSRequest is as 1568 1569 follows:

```
1570
1571
               "DeleteTATBSRequest": COMMAND-PARAMETER-TYPE
1572
       }
```

Within the COMMAND-PARAMETER-TYPE, the following JSON structure SHALL be used as an input to the JWE 1573 1574 while generating CONTENT-ENCRYPTION-TYPE.

```
1575
       {
1576
               "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1577
              "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1578
               "sdid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
               "taid": "PRINTABLE-STRING-PRIMITIVE-TYPE"
1579
       }
1580
```

Where: 1581

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- tsmid: The identifier of the OWE that issued the request.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
- sdid: The base64 encoded UUID of the SD where the TA is installed.
- taid: The base64 encoded UUID of a TA that is to be deleted.

#### 5.18.1 Processing Requirements

- 1589 Before authorizing the deletion of the SD, the rSD<sub>TA</sub> SHALL:
  - Validate the JSON web signature associated with the request.
  - Determine whether the OWE-CERT chains to a root CA certificate in OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is unavailable or expired, the rSD SHALL return the corresponding response with an error string along with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE may reissue the request with OCSP stapling.
  - Compare the dsihash value to the SHA-256 hash of the internal DSI-TYPE to ensure that the DSI has not changed since the last changes requested by the OWE.
  - Compare nonce to the last nextnonce sent to the OWE to ensure that no new operation has been authorized on SDs and TAs associated with the OWE since the last operation requested by the OWE.

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- Decrypt the ciphertext element of the CONTENT-ENCRYPTION-TYPE to obtain the TA information for deletion.
- Verify the did to ensure that the request is intended for the correct device.
  - Verify that the tsmid matches the OWE identifier in the OWE-CERT to ensure that the OWE issuing the request has access to the SD. See section 2.9 for details.

Upon successfully completing the above requirements, the given TA SHALL be deleted. Prior to the deletion, the TA SHALL be forcefully shut down as defined in [TMF ASN.1] section 11. A response message DeleteTAResponse SHALL always be returned regardless of the status of the operation.

### 5.19 DeleteTATBSResponse

In response to a DeleteTARequest command, the rSD<sub>TA</sub> SHALL return a DeleteTAResponse, encapsulating the DeleteTATBSResponse message. The JSON structure for the DeleteTATBSResponse SHALL be as follows:

```
1613 {
1614 "DeleteTATBSResponse":RESPONSE-PARAMETER-TYPE
1615 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1618 {
1619         "status":"OPERATION-RESPONSE-PRIMITIVE-TYPE",
1620         "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1621         "dsi":DSI-CONTENT-TYPE,
1622         "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1623 }
```

1624 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the DeleteSDRequest operation. If the TA is deleted successfully, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string listed in section 5.19.1.
- did: The value of did from the DeleteSDRequest.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the DeleteSDRequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

#### 1634 5.19.1 **Error Conditions**

If any validation listed in section 5.18.1 fails or if a TEE error occurs, the rSDTA SHALL use an appropriate 1635 1636 OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding

1637 response message.

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• ERR\_REQUEST\_INVALID 1638

ERR\_OWE\_NOT\_TRUSTED

1640 ERR\_OCSP\_INVALID

ERR\_DEV\_STATE\_MISMATCH 1641

ERR\_TA\_NOT\_FOUND 1642

• ERR\_TEE\_BUSY 1643

1644 ERR\_TEE\_FAIL

ERR\_TEE\_RESOURCE\_FULL 1645

1646 ERR\_TEE\_UNKNOWN

ERR UNSUPPORTED CRYPTO ALG 1647

• ERR\_UNSUPPORTED\_MSG\_VERSION 1648

See section 4.14 for details on error strings. 1649

### 5.20 StoreTEEPropertyTBSRequest

An OWE SHALL issue a StoreTEEPropertyTBSRequest message to store, update, or delete TEE properties. The message SHALL be signed using the JWS scheme and encapsulated in a StoreTEEPropertyRequest message. This message SHALL always be issued to an rSD<sub>TEE</sub>.

TEE properties are described in [TMF ASN.1] section A.5. The OTrP Profile supports only the TEE property gpd.tee.tmf.resetpreserved.entities, which is used to indicate entities as UUIDs to be preserved across a Factory Reset operation on TEE.

The JSON structure for the StoreTEEPropertyTBSRequest is as follows:

```
1659 {
1660    "StoreTEEPropertyTBSRequest":COMMAND-PARAMETER-TYPE
1661 }
```

The following JSON elements will be used as input to the CONTENT-ENCRYPTION-TYPE within COMMAND-TYPE.

```
1664
       {
1665
               "tsmid": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1666
               "did": "PRINTABLE-STRING-PRIMITIVE-TYPE",
1667
               "property": "gpd.tee.tmf.resetpreserved.entities",
               "value":{
1668
1669
                               "taids": UUID-ARRAY-TYPE,
1670
                               "sdids":UUID-ARRAY-TYPE
                       }
1671
1672
       }
```

1673 Where:

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- tsmid: The identifier of the OWE that issued the request.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.
- property: OTrP Profile SHALL support only the TEE property gdp.tee.tmf.resetpreserved.entities.
- value: The value of the TEE property.
- taids: UUIDS of TAs structured as UUID-ARRAY-TYPE that SHALL be preserved across a Factory Reset operation on TEE.
- sdids: UUIDS of SDs structured as UUID-ARRAY-TYPE that SHALL be preserved across a Factory Reset operation on TEE.
- 1684 The StoreTEEPropertyTBSRequest SHALL always replace the previous value of the TEE property.

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#### 5.20.1 Processing Requirements

- 1687 Upon receiving the StoreTEEPropertyRequest message, the rSDTEE SHALL:
- Validate the JSON web signature associated with the request, using the OWE-PUB associated with the OWE-CERT.
  - Determine whether the OWE-CERT chains to a root CA certificate in its OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is unavailable or expired, the rSD SHALL return the corresponding response with an error string along with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE may reissue the request with OCSP stapling.

Upon successfully completing the above requirements, the rSD<sub>TEE</sub> SHALL replace the TEE property with the given value. A response message StoreTEEPropertyResponse SHALL always be returned regardless of the status of the operation.

### 5.21 StoreTEEPropertyTBSResponse

1700 In response to a StoreTEEPropertyRequest command, the rSD<sub>TEE</sub> SHALL return a 1701 StoreTEEPropertyResponse, encapsulating the StoreTEEPropertyTBSResponse message. The JSON 1702 structure for the StoreTEEPropertyTBSResponse is as follows:

```
1703 {
1704    "StoreTEEPropertyTBSResponse":RESPONSE-PARAMETER-TYPE
1705 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1708 {
1709          "status":"OPERATION-RESPONSE-PRIMITIVE-TYPE",
1710          "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1711          "dsi":DSI-CONTENT-TYPE,
1712          "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1713     }
```

1714 Where:

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the StoreTEEPropertyRequest operation. If the TEE property is stored successfully, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string listed in section 5.21.1.
- did: The value of did from the StoreTEEPropertyRequest.
  - dsi: The DSI-CONTENT-TYPE for the new device state. This element is only returned when the nextdsi is set to true in the StoreTEEPropertyRequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

### 1725 **5.21.1 Error Conditions**

- 1726 If any validation listed in section 5.20.1 fails or if a TEE error occurs, the rSD<sub>TEE</sub> SHALL use an appropriate 1727 OPERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding
- 1728 response message.
- 1729 ERR\_REQUEST\_INVALID
- 1730 ERR\_OWE\_NOT\_TRUSTED
- 1731 ERR\_OCSP\_INVALID
- 1732 ERR\_TEE\_BUSY
- 1733 ERR\_TEE\_FAIL
- ERR\_TEE\_RESOURCE\_FULL
- 1735 ERR\_TEE\_UNKNOWN
- 1736 ERR\_UNSUPPORTED\_CRYPTO\_ALG
- ERR\_UNSUPPORTED\_MSG\_VERSION
- 1738 See section 4.14 for details on error strings.
- 1739

## 5.22 FactoryResetTBSRequest

- 1741 An OWE issues a FactoryResetTBSRequest message to move the TEE to a notional "factory" state. This
- message SHALL be signed using the JWS scheme and encapsulated in a FactoryResetRequest message.
- 1743 This message SHALL always be issued to an rSDTEE.
- 1744 The JSON structure for the FactoryResetTBSRequest is as follows:

```
1745 {
1746    "FactoryResetTBSRequest":COMMAND-PARAMETER-TYPE
1747 }
```

The following JSON element will be used as input to the CONTENT-ENCRYPTION-TYPE within COMMAND-1749 TYPE.

```
1750 {
1751    "tsmid":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1752    "did":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1753 }
```

1754 Where:

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- tsmid: The identifier of the OWE that issued the request.
- did: The base64 encoded SHA-256 hash of the TEE-CERT binary. did is used as the device identifier to which the request is issued.

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#### 5.22.1 Processing Requirements

- 1760 Upon receiving the FactoryResetRequest message, the rSD<sub>TEE</sub> SHALL:
- Validate the JSON web signature associated with the request, using the OWE-PUB associated with
   the OWE-CERT.
  - Determine whether the OWE-CERT chains to a root CA certificate in its OWE-WHITELIST.
  - Check the revocation status of the OWE-CERT chain, using the cached OCSP stapling. If the cache is unavailable or expired, the rSD SHALL return the corresponding response with an error string along with an indication (signerreq set to true) to provide OCSP stapling in the next request. The OWE may reissue the request with OCSP stapling.

Upon successfully completing the above steps, the rSD<sub>TEE</sub> SHALL perform a factory reset on the device. All SDs and TAs created or installed using OTrP Profile or [TMF ASN.1] that are not listed in gpd.tee.tmf.resetpreserved.entities SHALL be removed. All TAs which are listed in gpd.tee.tmf.resetpreserved.entities SHALL be reset according to the following requirements:

- All data (if any) in the TEE\_STORAGE\_PERSO storage space is retained unmodified.
- All data (if any) in the TEE STORAGE PRIVATE storage space is removed atomically.
- All active TEE Client or TEE Internal sessions are terminated. If the administration session used to perform the Factory Reset operation is terminated, then the factory reset SHALL continue.
- 1776 A response message StoreTEEPropertyResponse SHALL always be returned regardless of the status of the operation.

## 5.23 FactoryResetTBSResponse

In response to a FactoryResetRequest command, the rSD<sub>TEE</sub> SHALL return a FactoryResetResponse, encapsulating the FactoryResetTBSResponse message. The JSON structure for the FactoryResetTBSResponse is as follows:

```
1783 {
1784 "FactoryResetTBSResponse":RESPONSE-PARAMETER-TYPE
1785 }
```

Within the RESPONSE-PARAMETER-TYPE, the following JSON structure is used as an input to the JWE while generating CONTENT-ENCRYPTION-TYPE.

```
1788 {
1789     "status":"OPERATION-RESPONSE-PRIMITIVE-TYPE",
1790     "did":"PRINTABLE-STRING-PRIMITIVE-TYPE",
1791     "dsi":DSI-CONTENT-TYPE,
1792     "nextnonce":"PRINTABLE-STRING-PRIMITIVE-TYPE"
1793 }
```

1794 Where:

1795

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- status: An OPERATION-RESPONSE-PRIMITIVE-TYPE indicating the status of the FactoryResetRequest operation. If the TEE property is stored successfully, the value of status SHALL be OPERATION\_SUCCESS; otherwise its value SHALL be an error string in section 5.23.1.
- did: The value of did from the FactoryResetRequest.
- dsi: The DSI-CONTENT-TYPE for the new device state. This element is returned only when the nextdsi is set to true in the FactoryResetRequest.
- nextnonce: A unique value that the OWE SHALL use as nonce in the next subsequent request. See section 2.10.4 for details.

#### 1803 **5.23.1 Error Conditions**

1804 If any validation listed in section 5.22.1 fails or if a TEE error occurs, the rSD<sub>TEE</sub> SHALL use an appropriate 0PERATION-RESPONSE-PRIMITIVE-TYPE (listed below) as the status value in the corresponding response message.

- 1807 ERR\_REQUEST\_INVALID
- 1808 ERR\_OWE\_NOT\_TRUSTED
- 1809 ERR\_OCSP\_INVALID
- 1810 ERR\_TEE\_BUSY
- 1811 ERR\_TEE\_FAIL
- 1812 ERR\_TEE\_RESOURCE\_FULL
- 1813 ERR\_TEE\_UNKNOWN
- 1814 ERR\_UNSUPPORTED\_CRYPTO\_ALG
- 1815 ERR\_UNSUPPORTED\_MSG\_VERSION
- 1816 See section 4.14 for details on error strings.

# Annex A Changes

This annex describes changes between the original Open Trust Protocol (OTrP) v1.0 ([OTPA OTrP]) and the GlobalPlatform OTrP Profile described in this document.

### A.1 Terminology

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**Table A-1: Changes to Terminology** 

Original Terminology	Terminology Used	Notes
Trusted Service Manager (TSM)	Outside World Entity (OWE)	OWE replaces TSM. OWEs are responsible for the life cycle management of TAs running on TEEs of devices.

#### A.2 JSON Elements

1825 Table A-2: Changes to JSON Elements

Original JSON Element Name	JSON Element Name Used	Status	Notes
sdname	sdid	Updated	sdname represented the name of the Security Domain to be created. sdname has been changed to sdid, a UUID that identifies a Security Domain. Furthermore, sdid SHALL not be changeable.
taname		Removed	taname represented the TA application friendly name. A TA SHALL be represented only using tid, a UUID that identifies a TA.
teespaik	spaik	Updated	teespaik and spaik both represented SP-AIK-PUB. For consistency, only spaik is used.
newsdname		Removed	UUID of an SD SHALL not be changed.
teespaiktype		Removed	spaik is structured according to JWK which includes the key type definition within the JWK structure.
reason		Removed	Reason described the failure reason detail. This document incorporates reason for failure (OPERATION-RESPONSE-PRIMITIVE-TYPE) in the status element.
cnt		Removed	cnt represented the number of SDs owned by a TSM. The cnt element is redundant as the number of SDs owned by a TSM can be represented by an array of JSON object SD-DEFINITION-TYPE.

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Original JSON Element Name	JSON Element Name Used	Status	Notes
encrypted_ta	encrypted_ta_bin	Updated	encrypted_ta used an ad hoc JSON structure to represent encrypted TA binary, and included TA personalization data.
			The encrypted_ta_bin SHALL follow CONTENT-ENCRYPTION-TYPE, which is based on the JWE format for representing encrypted data.
			TA personalization data SHALL be represented using a separate JSON element, encrypted_ta_data.
n/a	encrypted_ta_data	Added	TA personalization data previously included in encrypted_ta.

# Annex B String Identifiers for Curves in ECC

JWA defines string identifiers for NIST curves. GlobalPlatform uses a wider set of curves and so defines additional identifiers to cover those other cases.

Table B-1: String Identifiers for Curves in ECC

Curve Type	String Identifiers for Curves	[TEE Core] Algorithms	Notes
NIST Curves	P-224	TEE_ECC_CURVE_NIST_P224	
	P-256	TEE_ECC_CURVE_NIST_P256	
	P-384	TEE_ECC_CURVE_NIST_P384	
	P-521	TEE_ECC_CURVE_NIST_P521	
Brainpool Curves	BR-224	TEE_ECC_CURVE_BSI_P224r1	
	BR-256	TEE_ECC_CURVE_BSI_P256r1	
	BR-320	TEE_ECC_CURVE_BSI_P320r1	
	BR-384	TEE_ECC_CURVE_BSI_P384r1	
	BR-512	TEE_ECC_CURVE_BSI_P512r1	
Brainpool Twisted	BT-224	TEE_ECC_CURVE_BSI_P224t1	
	BT-256	TEE_ECC_CURVE_BSI_P256t1	
	BT-320	TEE_ECC_CURVE_BSI_P320t1	
	BT-384	TEE_ECC_CURVE_BSI_P384t1	
	BT-512	TEE_ECC_CURVE_BSI_P512t1	
Edwards Curves	Ed25519	TEE_ECC_CURVE_25519	Signature
	X25519		Key exchange
Chinese Curves	S-256	TEE_ECC_CURVE_SM2	

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# **Annex C** Specification Properties

- The gpd.tee.tmf.\* properties listed in Table C-1 can be retrieved by the generic Property Access
- Functions with the TEE\_PROPSET\_TEE\_IMPLEMENTATION pseudo-handle (see [TEE Core]).
- The property gpd.ta.parentSD can be retrieved by a TA using these generic functions with the TEE\_PROPSET\_CURRENT\_TA pseudo-handle.
- The property gpd.client.parentSD can be retrieved by a TA (called by a client TA) using these generic functions with the TEE\_PROPSET\_CURRENT\_CLIENT pseudo-handle.
- The gpd.sd.isRootSD property of an SD is flagged internally by the TEE at SD installation time and SHOULD NOT be retrieved using these generic functions.

#### 1841 Table C-1: Specification Reserved Properties

Property	Property Type	Comment
<pre>gpd.client.parentSD</pre>	UUID	The UUID of the direct parent SD of a TA. (See [TMF ASN.1] section 4.1.2.)
<pre>gpd.sd.isRootSD</pre>	boolean	Property that is set internally by the TEE when successfully installing a new rSD.
gpd.ta.parentSD	UUID	The UUID of the direct parent SD of a TA. (See [TMF ASN.1] section 4.1.2.)
<pre>gpd.tee.tmf.otrp.version</pre>	uint32_t	The version of this specification, encoded as specified in [TMF ASN.1] section A.4.