

Attachment #3: Participant Response Form

NOTE: All blanks must be completed in order for this form to be accepted. This response is subject to all such guidelines, policies and procedures of GlobalPlatform, Inc. (“GlobalPlatform”) as may currently be in force. This form is to be completed on behalf of each Member which is Enrolled (as defined in the Process and Procedures Manual). *All capitalized terms used in this form are intended to have the meanings given to them in the IPR Policy.*

NAME OF MEMBER
 (“MEMBER”):

NAME OF PERSON
 COMPLETING THIS
 (“REPRESENTATIVE”):

MAILING ADDRESS OF
 REPRESENTATIVE:

EMAIL ADDRESS OF
 REPRESENTATIVE:

SPECIFICATION OR
 AMENDMENT TO WHICH
 THIS FORM RELATES (THE
 “SPECIFICATION”):

- A. The Representative hereby represents the following on behalf of him/herself and the Member, as the context requires:
1. The Representative is authorized on behalf of the Member to make the following representations and warranties.
 2. The Member has reviewed the current *Intellectual Property (IPR) Policy* (the “Policy”) and *Process and Procedures Manual* of GlobalPlatform, Inc. (together with the Policy, the “IPR Documents”, current copies of which have been distributed to each Enrolled Member) and agrees that it will fully comply with the IPR Documents. All capitalized terms used but not defined in this form shall have the meanings ascribed to them in the Policy.
 3. The Member hereby irrevocably agrees that if the Specification is finally approved by GlobalPlatform, then subject to the terms and provisions of the Policy, including without limitation, Section 1.6 (Patent Searches) and Section 1.2j (Irrevocability/Amendments) (please check one blank, but only a blank for an option permitted under the Charter of this Committee, Working Group or Task Force):

- (a) _____ the Member hereby makes a RAND-Free Commitment with respect to all Necessary Claims Owned by it or any of its Subsidiaries under the Specification for the purpose of implementing it;
- (b) _____ the Member hereby makes a RAND-OSS Commitment with respect to all Necessary Claims Owned by it or any of its Subsidiaries under the Specification for the purpose of implementing it;
- (c) _____ the Member hereby makes a RAND-Royalty Commitment with respect to all Necessary Claims Owned by it or any of its Subsidiaries under the Specification for the purpose of implementing it and also states one of the following (please check one blank):
 - (i) _____ identified on Exhibit A in accordance with the Policy and the Process and Procedures are the specific Patent claim(s) and/or other IPR which are Owned by the Member or any of its Subsidiaries which the Member asserts would be Necessary Claims and the specific portion(s), section(s) and subsection(s) of the Specification which would result in the infringement; or
 - (ii) _____ the Representative is also the “member designee”, as defined in the GlobalPlatform Process and Procedures Manual, and is unaware of any Patent claims that would be Necessary Claims or other IPR of the Member (or any of its Subsidiaries) that would be necessarily infringed;
- (d) _____ identified on Exhibit A in accordance with the Policy and the Process and Procedures are the specific Patent claim(s) which are Owned by the Member or any of its Subsidiaries which the Member asserts would be Necessary Claims and the specific portion(s), section(s) and subsection(s) of the Specification which would result in the infringement. The Member additionally states that (please check one blank):
 - (i) _____ no guarantee of the appropriate license rights is being made;
 or
 - (ii) _____ such rights will be denied in some or all cases.

4. The Representative is not aware of any claim(s) in any Patents or other IPR of any third party which would be necessarily infringed by the implementation of the Specification. If the Representative is aware of any such potential infringement, then the Representative has described, to the best of his/her knowledge, in accordance with the Policy and the Process and Procedures, such infringement and the related IPR in Exhibit B, together with any supporting documentation which may be readily available to the Representative.

B. GlobalPlatform, in accepting this form, acknowledges that the representation required in paragraph A.4 above is being solicited purely for informational purposes, and that

GlobalPlatform. will not be relying on such representation or otherwise holding the Member responsible for its accuracy.

This Participant Response Form has been submitted on _____, 201__.

Name of Member: _____

By: _____
[Signature of Representative]

Name of Representative: _____

Exhibit A to the Participant Response Form

Disclosed IPR

Jurisdiction and Patent Number	Necessary Claim	Effectuated Portion of Standard

Exhibit B to the Participant Response Form

Disclosed Third Party IPR

Jurisdiction and Patent Number	Necessary Claim	Effected Portion of Standard

Attachment #4: Non-Participant Response Form

NOTE: All blanks must be completed in order for this form to be accepted. This response is subject to all such guidelines, policies and procedures of GlobalPlatform, Inc. (“GlobalPlatform”) as may currently be in force. This form is to be completed on behalf of each Member which is not Enrolled (as defined in the Process and Procedures Manual).

NAME OF MEMBER
 (“MEMBER”):

NAME OF PERSON
 COMPLETING THIS
 (“REPRESENTATIVE”):

MAILING ADDRESS OF
 REPRESENTATIVE:

EMAIL ADDRESS OF
 REPRESENTATIVE:

SPECIFICATION OR
 AMENDMENT TO WHICH
 THIS FORM RELATES (THE
 “SPECIFICATION”):

- A. The Representative hereby represents the following on behalf of him/herself and the Member, as the context requires:
1. The Representative is authorized on behalf of the Member to make the following representations and warranties.
 2. The Member has reviewed the current Intellectual Property (IPR) Policy (the “Policy”) and Process and Procedures Manual of GlobalPlatform, Inc. (together with the Policy, the “IPR Documents”, current copies of which have been distributed to each Enrolled Member) and agrees that it will fully comply with the IPR Documents. All capitalized terms used but not defined in this form shall have the meanings ascribed to them in the Policy.
 3. The Member hereby irrevocably agrees that if the Specification is finally approved by GlobalPlatform, then subject to the terms and provisions of the Policy, including without limitation, Section 1.6 (Patent Searches) and Section 1.2j (Irrevocability/Amendments) (please check one blank, but only a blank for an option permitted under the Charter of this Committee, Working Group or Task Force):

- (a) _____ the Member hereby makes a RAND-Free Commitment with respect to all Necessary Claims Owned by it or any of its Subsidiaries under the Specification for the purpose of implementing it;
- (b) _____ the Member hereby makes a RAND-OSS Commitment with respect to all Necessary Claims Owned by it or any of its Subsidiaries under the Specification for the purpose of implementing it;
- (c) _____ the Member hereby makes a RAND-Royalty Commitment with respect to all Necessary Claims Owned by it or any of its Subsidiaries under the Specification for the purpose of implementing it and also states one of the following (please check one blank):
 - (i) _____ identified on Exhibit A in accordance with the Policy and the Process and Procedures are the specific Patent claim(s) and/or other IPR which are Owned by the Member or any of its Subsidiaries which the Member asserts would be Necessary Claims and the specific portion(s), section(s) and subsection(s) of the Specification which would result in the infringement; or
 - (ii) _____ the Representative is also the “member designee”, as defined in the GlobalPlatform Process and Procedures Manual, and is unaware of any Patent claims that would be Necessary Claims or other IPR of the Member (or any of its Subsidiaries) that would be necessarily infringed;
- (d) _____ identified on Exhibit A in accordance with the Policy and the Process and Procedures are the Patent claim(s) which are Owned by the Member (or any of its Subsidiaries) which the Member asserts would be Necessary Claims and the specific portion(s), section(s) and subsection(s) of the Specification which would result in the infringement. The Member additionally states that (please check one blank):
 - (i) _____ no guarantee of appropriate license rights is being made; or
 - (ii) _____ such rights will be denied in some or all cases.

4. The Representative is not aware of any claim(s) in any Patents or other IPR of any third party which would be necessarily infringed by the implementation of the Specification. If the Representative is aware of any such potential infringement, then the Representative has described, to the best of his/her knowledge, in accordance with the Policy and the Process and Procedures, such infringement and the related IPR in Exhibit B, together with any supporting documentation which may be readily available to the Representative.

B. GlobalPlatform, in accepting this form, acknowledges that the representation required in paragraph A.4 above is being solicited purely for informational purposes, and that GlobalPlatform will not be relying on such representation or otherwise holding the Member

responsible for its accuracy.

This Non-Participant Response Form has been submitted on _____, 201__.

Name of Member: _____

By: _____
[Signature of Representative]

Name of Representative: _____

Exhibit A to the Participant Response Form

Disclosed IPR

Jurisdiction and Patent Number	Necessary Claim	Effected Portion of Standard

Exhibit B to the Participant Response Form

Disclosed Third Party IPR

Jurisdiction and Patent Number	Necessary Claim	Effected Portion of Standard